PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5: C12N 15/65, 15/62, 5/10

A1

(11) International Publication Number:

WO 92/08796

(43) International Publication Date:

29 May 1992 (29.05.92)

(21) International Application Number:

PCT/US91/08442

(22) International Filing Date:

// A61K 48/00

12 November 1991 (12.11.91)

(30) Priority data: 612,326

13 November 1990 (13.11.90) US

(71) Applicant: IMMUNEX CORPORATION [US/US]; 51 University Street, Seattle, WA 98020 (US).

(72) Inventor: LUPTON, Stephen, D.; 7323 Sandpoint Way NE, #211, Seattle, WA 98115 (US).

(74) Agent: WIGHT, Christopher, L.; Immunex Corporation, 51 University Street, Seattle, WA 98101 (US).

(81) Designated States: AT (European patent), AU, BE (European patent), CA, CH (European patent), DE (European pean patent), DK (European patent), ES (European patent), FR (European patent), GB (European patent), GR (European patent), IT (European patent), JP, LU (European patent), NL (European patent), SE (European patent).

Published

With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: BIFUNCTIONAL SELECTABLE FUSION GENES

(57) Abstract

The invention provides selectable fusion genes comprising a dominant positive selectable gene fused to and in reading frame with a negative selectable gene. The selectable fusion gene encodes a single bifunctional fusion protein which is capable of conferring a dominant positive selectable phenotype and a negative selectable phenotype on a cellular host. A dominant positive selectable phenotype is conferred, for example, by the hph gene for hygromycin B resistance (Hm^r). A dominant negative selectable phenotype is conferred, for example, by the HSV-I TK gene for gansiclovir sensitivity (GCVs). The present invention also provides recombinant expression vectors, for example, retroviral vectors, which include selectable fusion genes, and cells transduced with the recombinant expression vectors. The bifunctional selectable fusion genes are expressed and regulated as a single genetic entity, permitting co-regulation and co-expression with a high degree of efficiency.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	ES	Spain	MG	Madagascar
AU	Australia	FI	Finland	ML	Mali
BB	Barbados	FR	France	MN	Mongolia
BE	Belgium	GA	Gabon .	MR	Mauritania
BF	Burkina Faso	GB	United Kingdom	MW	Malawi
BG	Bulgaria	GN	Guinea	NL	Netherlands
BJ	Benin	GR	Greece	NO	Norway
BR	Brazil	HU	Hungary	PL	Poland
CA	Canada	lТ	Italy	RO	Romania
CF	Central African Republic	JP	Japan	SD	Sudan
CG	Congo	KP	Democratic People's Republic	SE	Sweden
CH	Switzerland		of Korca	SN	Senegal
Ci	Côte d'Ivoire	KR	Republic of Korca	su+	Soviet Union
CM	Cameroon	LI	Liechtenstein	TD	Chad
CS	Czechoslovakia	LK	Sri Lanka	TG	Togo
DE*	Germany	LU	Luxembourg	US	United States of America
DK	Denmark	MC	Monaco		

⁺ Any designation of "SU" has effect in the Russian Federation. It is not yet known whether any such designation has effect in other States of the former Soviet Union.

TITLE

Bifunctional Selectable Fusion Genes

5

10

15

20

25

30

35

BACKGROUND OF THE INVENTION

The present invention relates generally to genes expressing selectable phenotypes. More particularly, the present invention relates to genes capable of co-expressing both dominant positive selectable and negative selectable phenotypes.

Genes which express a selectable phenotype are widely used in recombinant DNA technology as a means for identifying and isolating host cells into which the gene has been introduced. Typically, the gene expressing the selectable phenotype is introduced into the host cell as part of a recombinant expression vector. Positive selectable genes provide a means to identify and/or isolate cells that have retained introduced genes in a stable form, and, in this capacity, have greatly facilitated gene transfer and the analysis of gene function. Negative selectable genes, on the other hand, provide a means for eliminating cells that retain the introduced gene.

A variety of genes are available which confer selectable phenotypes on animal cells. The bacterial neomycin phosphotransferase (neo) (Colbere-Garapin et al., J. Mol. Biol. 150:1, 1981), hygromycin phosphotransferase (hph) (Santerre et al., Gene 30:147, 1984), and xanthine-guanine phosphoribosyl transferase (gpt) (Mulligan and Berg, Proc. Natl. Acad. Sci. USA 78:2072, 1981) genes are widely used dominant positive selectable genes. The Herpes simplex virus type I thymidine kinase (HSV-I TK) gene (Wigler et al., Cell 11:223, 1977), and the cellular adenine phosphoribosyltransferase (APRT) (Wigler et al., Proc. Natl. Acad. Sci. USA 76:1373, 1979) and hypoxanthine phosphoribosyltransferase (HPRT) genes (Jolly et al., Proc. Natl. Acad. Sci. USA 80:477, 1983) are commonly used recessive positive selectable genes. In general, dominant selectable genes are more versatile than recessive genes, because the use of recessive genes is limited to mutant cells deficient in the selectable function, whereas dominant genes may be used in wild-type cells.

Several genes confer negative as well as positive selectable phenotypes, including the HSV-I TK, HPRT, APRT and gpt genes. These genes encode enzymes which catalyze the conversion of nucleoside or purine analogs to cytotoxic intermediates. The nucleoside analog GCV is an efficient substrate for HSV-I TK, but a poor substrate for cellular TK, and therefore may be used for negative selection against the HSV-I TK gene in wild-type cells (St. Clair et al., Antimicrob. Agents Chemother. 31:844, 1987). However, the HSV-I TK gene may only be used

20

25

30

35

effectively for positive selection in mutant cells lacking cellular TK activity. Use of the HPRT and APRT genes for either positive or negative selection is similarly limited to HPRT- or APRT- cells, respectively (Fenwick, "The HGPRT System", pp. 333-373, M. Gottesman (ed.), Molecular Cell Genetics, John Wiley and Sons, New York, 1985; Taylor et al., "The APRT System", pp., 311-332, M. Gottesman (ed.), Molecular Cell Genetics, John Wiley and Sons, New York, 1985). The gpt gene, on the other hand, may be used for both positive and negative selection in wild-type cells. Negative selection against the gpt gene in wild-type cells is possible using 6-thioxanthine, which is efficiently converted to a cytotoxic nucleotide analog by the bacterial gpt enzyme, but not by the cellular HPRT enzyme (Besnard et al., Mol. Cell. Biol. 7:4139, 1987).

More recently, attention has turned to selectable genes that may be incorporated into gene transfer vectors designed for use in human gene therapy. Gene therapy is a method for permanently curing a hereditary genetic disease which results from a defect in or absence of one or more genes. Collectively, such diseases result in significant morbidity and mortality. Examples of such genetic diseases include hemophilias A and B (caused by a deficiency of blood coagulation factors VIII and IX, respectively), alpha-1-antitrypsin deficiency, and adenosine deaminase deficiency. In each of these particular cases, the missing gene has been identified and its complementary DNA (cDNA) molecularly cloned (Wood et al., Nature 312:330, 1984; Anson et al., Nature 315:683, 1984; and Long et al., Biochemistry 23:4828, 1984; Daddona et al., J. Biol. Chem. 259:12101, 1984). While palliative therapy is available for some of these genetic diseases, often in the form of administration of blood products or blood transfusions, one way of permanently curing such genetic diseases is to introduce a replacement for the defective or missing gene back into the somatic cells of the patient, a process referred to as "gene therapy" (Anderson, Science 226:401, 1984). Gene therapy can also be used as a means for augmenting normal gene function, for example, by introducing a heterologous gene capable of modifying cellular activities or cellular phenotype, or alternatively, expressing a drug needed to treat a disease.

The process of gene therapy typically involves the steps of (1) removing somatic (non-germ) cells from the patient, (2) introducing into the cells ex vivo a replacement gene via an appropriate vector capable of expressing the replacement gene, and (3) transplanting or transfusing these cells back into the patient, where the replacement gene is expressed to provide some therapeutic benefit. Gene transfer into somatic cells for human gene therapy is presently achieved ex vivo (Kasid et al., Proc. Natl Acad. Sci. USA 87:473, 1990; Rosenberg et al., N. Engl. J. Med. 323:570, 1990), and this relatively inefficient process would be facilitated by the use of a dominant positive selectable gene for identifying and isolating those cells into which the

10

15

20

25

30

35

replacement gene has been introduced before they are returned to the patient. The *neo* gene, for example, has been used to identify genetically modified cells used in human gene therapy.

In some instances, however, it is possible that the introduction of genetically modified cells may actually compromise the health of the patient. The ability to selectively eliminate genetically modified cells in vivo would provide an additional margin of safety for patients undergoing gene therapy, by permitting reversal of the procedure. This might be accomplished by incorporating into the vector a negative selectable (or 'suicide') gene that is capable of functioning in wild-type cells. Incorporation of a gene capable of conferring both dominant positive and negative selectable phenotypes would ensure co-expression and co-regulation of the positive and negative selectable phenotypes, and would minimize the size of the vector. However, positive selection for the gpt gene in some instances requires precise selection conditions which may be difficult to determine. Moreover, the feasibility of using the gpt gene for in vivo negative selection has not yet been clearly established. For these reasons, co-expression of a dominant positive selectable phenotype and a negative selectable phenotype is typically achieved by co-expressing two different genes which separately encode other dominant positive and negative selectable functions, rather than using the gpt gene.

The existing strategies for co-expressing dominant positive and negative selectable phenotypes encoded by different genes often present complex challenges. As indicated above, the most widely used technique is to co-transfect two plasmids separately encoding two phenotypes (Wigler et al., Cell 16:777, 1979). However, the efficiency of co-transfer is rarely 100%, and the two genes may be subject to independent genetic or epigenetic regulation. A second strategy is to link the two genes on a single plasmid, or to place two independent transcription units into a viral vector. This method also suffers from the disadvantage that the genes may be independently regulated. In retroviral vectors, suppression of one or the other independent transcription unit may occur (Emerman and Temin, Mol. Cell. Biol. 6:792, 1986). In addition, in some circumstances there may be insufficient space to accommodate two functional transcription units within a viral vector, although retroviral vectors with functional multiple promoters have been successfully made (Overell et al., Mol. Cell. Biol. 8:1803, 1988). A third strategy is to express the two genes as a bicistronic mRNA using a single promoter. With this method, however, the distal open reading frame is often translated with variable (and usually reduced) efficiency (Kaufman et al., EMBO J. 6:187, 1987), and it is unclear how effective such an expression strategy would be in primary cells.

10

15

20

25

30

35

The present invention provides a method for more efficiently and reliably coexpressing a dominant positive selectable phenotype and a negative selectable phenotype encoded by different genes.

SUMMARY OF THE INVENTION

The present invention provides a selectable fusion gene comprising a dominant positive selectable gene fused to and in reading frame with a negative selectable gene. The selectable fusion gene encodes a single bifunctional fusion protein which is capable of conferring a dominant positive selectable phenotype and a negative selectable phenotype on a cellular host. In a preferred embodiment, the selectable fusion gene comprises nucleotide sequences from the *hph* gene fused to nucleotide sequences from the HSV-I TK gene, referred to herein as the HyTK selectable fusion gene (Sequence Listing No. 1). The HyTK selectable fusion gene confers both hygromycin B resistance (Hm^I) for dominant positive selection and ganciclovir sensitivity (GCV^S) for negative selection.

The present invention also provides recombinant expression vectors, for example, retroviruses, which include the selectable fusion genes, and cells transduced with the recombinant expression vectors.

The selectable fusion genes of the present invention are expressed and regulated as a single genetic entity, permitting co-regulation and co-expression with a high degree of efficiency.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 shows diagrams of the plasmids tgCMV/hygro, tgCMV/TK and tgCMV/HyTK which contain proviral structures used in the present invention. The three plasmids are identical, except for the genes inserted between the HCMV promoter (filled box) and the SV40 early region polyadenylation signal (hatched box).

Figure 2 shows diagrams of the proviral structures from the plasmids shown in Figure 1. The horizontal arrows indicate transcriptional start sites and direction of transcription. The open box labeled LTR is the retroviral long terminal repeat. The viral splice donor is labeled SD and the acceptor sequences are labeled SA. The open box labeled CMV is the cytomegalovirus promoter. In tgLS(+)HyTK/stop, the positions of the two internal initiation codons retained in the HyTK selectable fusion gene are indicated by vertical arrows. The location at which the universal translation terminator oligonucleotide was inserted is also marked.

Figures 3 and 4 are graphs showing the results of a short-term proliferation assay in which the hygromycin resistant (Hm^r) NIH/3T3 cell pools and Hm^r and HAT

10

15

20

25

resistant (HAT) Rat-2 cell pools were tested for ganciclovir sensitivity (GCVs). Figure 3 shows that GCV inhibits growth of NIH/3T3 cells transfected with tgCMV/HyTK, but does not inhibit growth of NIH/3T3 cells transfected with tgCMV/Hygro. Figure 4 shows that GCV inhibits growth of Rat-2 cells transfected with tgCMV/HyTK (initially selected for Hmr or HAT) even at the lowest concentrations of GCV, and also inhibits growth of Rat-2 cells transfected with tgCMV/TK, although at slightly higher concentrations. GCV did not inhibit growth of Rat-2 cells transfected with tgCMV/Hygro.

Figure 5 shows the results of Northern analysis of Hm^r and HAT^r cell pools. Polyadenylated mRNA was extracted from each Hm^r and HAT^r cell pool, and used to prepare Northern blots which were probed with sequences from the *hph* gene (Panel A), the HSV-I TK gene (Panel B), or the β-actin gene (Panel C) (for mRNA equivalence). The positions of the 28S and 18S ribosomal RNAs are indicated. The mRNA present in each lane was extracted from the following cells: Lane 1, Rat-2 cells transfected with tgCMV/hygro; Lane 2, Rat-2 cells transfected with tgCMV/TK; Lane 3, Rat-2 cells transfected with tgCMV/HyTK and selected for Hm^T; Lane 4, Rat-2 cells transfected with tgCMV/HyTK and selected for HAT^r; Lane 5, NIH/3T3 cells transfected with tgCMV/hygro; Lane 6, NIH/3T3 cells transfected with tgCMV/HyTK.

Figure 6 shows photographs of stained colonies of uninfected NIH/3T3 cells (plates a, b and c) and NIH/3T3 cells infected with the tgLS(+)HyTK (plates d and e) or tgLS(-) CMV/HyTK (plates f and g) retroviruses. The cells were grown in medium alone or medium supplemented with GCV, Hm or Hm plus GCV in a long-term proliferation assay. The data show that uninfected NIH/3T3 cells were resistant to GCV and grew to confluence (plate b), but were killed by Hm (plate c). Growth of NIH/3T3 cells infected with the tgLS(+)HyTK and tgLS(-) CMV/HyTK retroviruses and grown in the presence of Hm (plates d and f) was inhibited by GCV (plates e and g).

DETAILED DESCRIPTION OF THE INVENTION

30

35

SEQ ID NO:1 and SEQ ID NO:2 (appearing immediately prior to the claims) show specific embodiments of the nucleotide sequence and corresponding amino acid sequence of the HyTK selectable fusion gene of the present invention. The HyTK selectable fusion gene shown in the Sequence Listing comprises sequences from the hph gene (nucleotides 1-971) linked to sequences from the HSV-I TK gene (nucleotides 972-2076).

10

15

20

25

30

35

Definitions

As used herein, the term "selectable fusion gene" refers to a nucleotide sequence comprising a dominant positive selectable gene which is fused to and in reading frame with a negative selectable gene and which encodes a single bifunctional fusion protein which is capable of conferring a dominant positive selectable phenotype and a negative selectable phenotype on a cellular host. A "dominant positive selectable gene" refers to a sequence of nucleotides which encodes a protein conferring a dominant positive selectable phenotype on a cellular host, and is discussed and exemplified in further detail below. A "negative selectable gene" refers to a sequence of nucleotides which encodes a protein conferring a negative selectable phenotype on a cellular host, and is also discussed and exemplified in further detail below. A "selectable gene" refers generically to dominant positive selectable genes and negative selectable genes.

A selectable gene is "fused to and in reading frame with" another selectable gene if the expression products of the selectable genes (i.e., the proteins encoded by the selectable genes) are fused by a peptide bond and at least part of the biological activity of each of the two proteins is retained. With reference to the HyTK selectable fusion gene disclosed herein, for example, the hph gene (encoding hygromycin-B phosphotransferase, which confers the dominant positive selectable phenotype of hygromycin resistance (Hm^r)) is fused to and in reading frame with the HSV-I TK gene (encoding Herpes Simplex Virus Type I thymidine kinase, which confers a negative selectable phenotype of ganciclovir sensitivity, or (GCVs)) if the hph and HSV-I TK proteins are fused by a peptide bond and expressed as a single bifunctional fusion protein. The component selectable gene sequences of the present invention are preferably contiguous; however, it is possible to construct selectable fusion genes in which the component selectable gene sequences are separated by internal nontranslated nucleotide sequences, such as introns. For purposes of the present invention, such noncontiguous selectable gene sequences are considered to be fused, provided that expression of the selectable fusion gene results in a single bifunctional fusion protein in which the expression products of the component selectable gene sequences are fused by a peptide bond.

"Nucleotide sequence" refers to a heteropolymer of deoxyribonucleotides or ribonucleotides, such as a DNA or RNA sequence. Nucleotide sequences may be in the form of a separate fragment or as a component of a larger construct. Preferably, the nucleotide sequences are in a quantity or concentration enabling identification, manipulation, and recovery of the sequence by standard biochemical methods, for example, using a cloning vector. Recombinant nucleotide sequences are the product of various combinations of cloning, restriction, and ligation steps resulting in a construct

10

15

20

25

30

35

having a structural coding sequence distinguishable from homologous sequences found in natural systems. Generally, nucleotide sequences encoding the structural coding sequence, for example, the selectable fusion genes of the present invention, can be assembled from nucleotide fragments and short oligonucleotide linkers, or from a series of oligonucleotides, to provide a synthetic gene which is capable of being expressed in a recombinant transcriptional unit. Such sequences are preferably provided in the form of an open reading frame uninterrupted by internal nontranslated sequences, or introns, which are typically present in eukaryotic genes. Genomic DNA containing the relevant selectable gene sequences is preferably used to obtain appropriate nucleotide sequences encoding selectable genes; however, cDNA fragments may also be used. Sequences of non-translated DNA may be present 5' or 3' from the open reading frame or within the open reading frame, provided such sequences do not interfere with manipulation or expression of the coding regions. Some genes, however, may include introns which are necessary for proper expression in certain hosts, for example, the HPRT selectable gene includes introns which are necessary for expression in embryonal stem (ES) cells. As suggested above, the nucleotide sequences of the present invention may also comprise RNA sequences, for example, where the nucleotide sequences are packaged as RNA in a retrovirus for infecting a cellular host. The use of retroviral expression vectors is discussed in greater detail below.

The term "recombinant expression vector" refers to a replicable unit of DNA or RNA in a form which is capable of being transduced into a target cell by transfection or viral infection, and which codes for the expression of a selectable fusion gene which is transcribed into mRNA and translated into protein under the control of a genetic element or elements having a regulatory role in gene expression, such as transcription and translation initiation and termination sequences. The recombinant expression vectors of the present invention can take the form of DNA constructs replicated in bacterial cells and transfected into target cells directly, for example, by calcium phosphate precipitation, electroporation or other physical transfer methods. The recombinant expression vectors which take the form of RNA constructs may, for example, be in the form of infectious retroviruses packaged by suitable "packaging" cell lines which have previously been transfected with a proviral DNA vector and produce a retrovirus containing an RNA transcript of the proviral DNA. A host cell is infected with the retrovirus, and the retroviral RNA is replicated by reverse transcription into a doublestranded DNA intermediate which is stably integrated into chromosomal DNA of the host cell to form a provirus. The provirus DNA is then expressed in the host cell to produce polypeptides encoded by the DNA. The recombinant expression vectors of the present invention thus include not only RNA constructs present in the infectious

10

15

20

25

30

35

retrovirus, but also copies of proviral DNA, which include DNA reverse transcripts of a retrovirus RNA genome stably integrated into chromosomal DNA in a suitable host cell, or cloned copies thereof, or cloned copies of unintegrated intermediate forms of retroviral DNA. Proviral DNA includes transcriptional elements in independent operative association with selected structural DNA sequences which are transcribed into mRNA and translated into protein when proviral sequences are expressed in infected host cells. Recombinant expression vectors used for direct transfection will include DNA sequences enabling replication of the vector in bacterial host cells. Various recombinant expression vectors suitable for use in the present invention are described below.

"Transduce" means introduction of a recombinant expression vector containing a selectable fusion gene into a cell. Transduction methods may be physical in nature (i.e., transfection), or they may rely on the use of recombinant viral vectors, such as retroviruses, encoding DNA which can be transcribed to RNA, packaged into infectious viral particles and used to infect target cells and thereby deliver the desired genetic material (i.e., infection). Many different types of mammalian gene transfer and recombinant expression vectors have been developed (see, e.g., Miller and Calos, eds., "Gene Transfer Vectors for Mammalian Cells," Current Comm. Mol. Biol., (Cold Spring Harbor Laboratory, New York, 1987)). Naked DNA can be physically introduced into mammalian cells by transfection using any one of a number of techniques including, but not limited to, calcium phosphate transfection (Berman et al., Proc. Natl. Acad. Sci. USA 84 81: 7176, 1984), DEAE-Dextran transfection (McCutchan et al., J. Natl. Cancer Inst. 41:351, 1986; Luthman et al., Nucl. Acids Res. 11:1295, 1983), protoplast fusion (Deans et al., Proc. Natl. Acad. Sci. USA 84 81: 1292, 1984), electroporation (Potter et al., Proc. Natl. Acad. Sci. USA 84 81: 7161, 1984), lipofection (Felgner et al., Proc. Natl. Acad. Sci. USA 84:7413, 1987), polybrene transfection (Kawai and Nishzawa, Mol. Cell. Biol 4:1172, 1984) and direct gene transfer by laser micropuncture of cell membranes (Tao et al., Proc. Natl. Acad. Sci. USA 84 84:4180, 1987). Various infection techniques have been developed which utilize recombinant infectious virus particles for gene delivery. This represents a preferred approach to the present invention. The viral vectors which have been used in this way include virus vectors derived from simian virus 40 (SV40; Karlsson et al., Proc. Natl. Acad. Sci. USA 84 82:158, 1985), adenoviruses (Karlsson et al., EMBO J. 5:2377, 1986), adeno-associated virus (LaFace et al., Virology 162:483, 1988) and retroviruses (Coffin, 1985, p17-71 in Weiss et al (eds.), RNA Tumor Viruses, 2nd ed., Vol 2, Cold Spring Harbor Laboratory, New York). Thus, gene transfer and expression methods are numerous but essentially function to introduce and express genetic material in mammalian cells. Several of the above techniques have been used to transduce hematopoietic or lymphoid cells, including calcium phosphate transfection (Berman et al., supra, 1984), protoplast fusion (Deans et al., supra, 1984), electroporation (Cann et al., Oncogene 3:123, 1988), and infection with recombinant adenovirus (Karlsson et al., supra; Ruether et al., Mol. Cell. Biol. 6:123, 1986) adeno-associated virus (LaFace et al., supra) and retrovirus vectors (Overell et al., Oncogene 4:1425, 1989). Primary T lymphocytes have been successfully transduced by electroporation (Cann et al., supra, 1988) and by retroviral infection (Nishihara et al., Cancer Res. 48:4730, 1988; Kasid et al., supra, 1990).

10

15

20

25

30

35

Construction of Selectable Fusion Genes

The selectable fusion genes of the present invention comprise a dominant positive selectable gene fused to a negative selectable gene. A selectable gene will generally comprise, for example, a gene encoding a protein capable of conferring an antibiotic resistance phenotype or supplying an autotrophic requirement (for dominant positive selection), or activating a toxic metabolite (for negative selection). A DNA sequence encoding a bifunctional fusion protein is constructed using recombinant DNA techniques to assemble separate DNA fragments encoding a dominant positive selectable gene and a negative selectable gene into an appropriate expression vector. The 3' end of one selectable gene is ligated to the 5' end of the other selectable gene, with the reading frames of the sequences in frame to permit translation of the mRNA sequences into a single biologically active bifunctional fusion protein. The selectable fusion gene is expressed under control of a single promoter.

The dominant positive selectable gene is any gene which, upon being transduced into a host cell, expresses a dominant phenotype permitting positive selection of stable transductants. Selection of stable transductants can be carried out, for example, using the hygromycin-B phosphotransferase gene (hph) which confers the selectable phenotype of hygromycin resistance (Hmr) (Santerre et al., Gene 30:147, 1984; Sugden et al., Mol. Cell. Biol. 5:410, 1985; obtainable from plasmid pHEBo1, under ATCC Accession No. 39820). Hygromycin B is an aminoglycoside antibiotic that inhibits protein synthesis by disrupting translocation and promoting mistranslation. The hph gene confers Hmr to cells transduced with the hph gene by phosphorylating and detoxifying the antibiotic hygromycin B. Other acceptable dominant positive selectable genes include the following: the aminoglycoside phosphotransferase gene (neo or aph) from Tn5 which codes for resistance to the antibiotic G418 (Colbere-Garapin et al., J. Mol. Biol. 150:1, 198; Southern and Berg, J. Mol. Appl. Genet. 1:327, 1982); the xanthine-guanine phosphoribosyl transferase gene (gpt) from E. coli

25

30

35

encoding resistance to mycophenolic acid (Mulligan and Berg, Proc. Natl. Acad. Sci USA 78:2072, 1981); the dihydrofolate reductase (DHFR) gene from murine cells or E. coli which is necessary for biosynthesis of purines and can be competitively inhibited by the drug methotrexate (MTX) to select for cells constitutively expressing increased levels of DHFR (Simonsen and Levinson, Proc. Natl. Acad. Sci. (U.S.A.) 80:2495, 1983; Simonsen et al., Nucl. Acids. Res. 16:2235, 1988); the S. typhimurium histidinol dehydrogenase (hisD) gene (Hartman, et al, Proc. Natl. Acad. Sci. (USA) 85:8047, 1988); the E. coli tryptophan synthase β subunit (trpB) gene (Hartman et al., supra); the puromycin-N-acetyl transferase (pac) gene (Vara et al., 10 Nucl. Acids Res. 14:4117, 1986); the adenosine deaminase (ADA) gene (Daddona et al., J. Biol. Chem. 259:12101, 1984); the multi-drug resistance (MDR) gene (Kane et al., Gene 84:439, 1989); the mouse ornithine decarboxylase (OCD) gene (Gupba and Coffino, J. Biol. Chem. 260:2941, 1985); the E. coli aspartate transcarbamylase catalytic subunit (pyrB) gene (Ruiz and Wahl, Mol. Cell. Biol. 6:3050, 1986); and the 15 E. coli asnA gene, encoding asparagine sythetase (Cartier et al., Mol. Cell. Biol. *7*:1623, 1987).

The negative selectable gene is any gene which, upon being transduced into a host cell, expresses a phenotype permitting negative selection (i.e., elimination) of stable transductants. In preferred embodiments, the negative selectable gene used in the fusion genes of the present invention is the Herpes simplex virus type I thymidine kinase (HSV-I TK) gene (Wigler et al., Cell 11:223, 1977; McKnight et al., Nucl. Acids Res. 8:5931, 1980; Preston et al., J. Virol. 38:593, 1981; Wagner et al., Proc. Natl. Acad. Sci. USA 78:1441, 1981) which confers ganciclovir sensitivity (GCV^S) (St. Clair et al., Antimicrob. Agents Chemother. 31:844, 1987). The HSV-I TK gene is available from Bethesda Research Labs (Catalog No. BRL 5365 SA). Negative selection can also be performed, for example, using the cellular hypoxanthine phosphoribosyltransferase (HPRT) gene (Jolly et al., Proc. Natl. Acad. Sci. USA 80:477, 1983; Fenwick, "The HGPRT System", pp. 333-373, M. Gottesman (ed.), Molecular Cell Genetics, (John Wiley and Sons, New York, 1985)) and the cellular adenine phosphoribosyltransferase (APRT) gene (Wigler et al., Proc. Natl. Acad. Sci. USA 76:1373, 1979; Taylor et al., "The APRT System", pp., 311-332, M. Gottesman (ed.), Molecular Cell Genetics, (John Wiley and Sons, New York, 1985)); and the E. coli gpt gene (Besnard et al., Mol. Cell. Biol. 7:4139, 1987).

Due to the degeneracy of the genetic code, there can be considerable variation in nucleotide sequences encoding the same amino acid sequence; exemplary DNA embodiments are those corresponding to the nucleotide sequences shown in Sequence Listing No. 1. Such variants will have modified DNA or amino acid sequences, having

15

25

30

35

one or more substitutions, deletions, or additions, the net effect of which is to retain biological activity, and may be substituted for the specific sequences disclosed herein. The sequences of selectable fusion genes comprising hph and TK are equivalent if they contain all or part of the sequences of hph and HSV-I TK and are capable of hybridizing to the nucleotide sequence of Sequence Listing No. 1 under moderately stringent conditions (50°C, 2 X SSC) and express a biologically active fusion protein. A "biologically active" fusion protein will share sufficient amino acid sequence similarity with the specific embodiments of the present invention disclosed herein to be capable of conferring the selectable phenotypes of the component selectable genes.

In a preferred embodiment, sequences from the bacterial hygromycin phosphotransferase (hph) gene are fused with sequences from the HSV-I TK gene. The resulting selectable fusion gene (referred to as the HyTK selectable fusion gene) encodes a bifunctional fusion protein that confers Hm^I and GCV^S, and provides a means by which dominant positive and negative selectable phenotypes may be expressed and regulated as a single genetic entity. The HyTK selectable fusion gene is therefore a useful addition to the existing panel of selectable genes available for use in animal cells, because it allows both dominant positive and negative selection in wild-type cells.

20 Recombinant Expression Vectors

The selectable fusion genes of the present invention are utilized to identify, isolate or eliminate host cells into which the selectable fusion genes are introduced. The selectable fusion genes are introduced into the host cell by transducing into the host cell a recombinant expression vector which contains the selectable fusion gene. Such host cells include cell types from higher eukaryotic origin, such as mammalian or insect cells, or cell types from lower prokaryotic origin, such as bacterial cells, for example, *E. coli*.

As indicated above, such selectable fusion genes are preferably introduced into a particular cell as a component of a recombinant expression vector which is capable of expressing the selectable fusion gene within the cell and conferring a selectable phenotype. Such recombinant expression vectors generally include synthetic or natural nucleotide sequences comprising the selectable fusion gene operably linked to suitable transcriptional or translational control sequences, for example, an origin of replication, optional operator sequences to control transcription, a suitable promoter and enhancer linked to the gene to be expressed, and other 5' or 3' flanking nontranscribed sequences, and 5' or 3' nontranslated sequences, such as necessary ribosome binding sites, a polyadenylation site, splice donor and acceptor sites, and transcriptional

10

15

20

25

30

35

termination sequences. Such regulatory sequences can be derived from mammalian, viral, microbial or insect genes. Nucleotide sequences are operably linked when they are functionally related to each other. For example, a promoter is operably linked to a selectable fusion gene if it controls the transcription of the selectable fusion gene; or a ribosome binding site is operably linked to a selectable fusion gene if it is positioned so as to permit translation of the selectable fusion gene into a single bifunctional fusion protein. Generally, operably linked means contiguous.

Specific recombinant expression vectors for use with mammalian, bacterial, and yeast cellular hosts are described by Pouwels et al. (Cloning Vectors: A Laboratory Manual, Elsevier, New York, 1985) and are well-known in the art. A detailed description of recombinant expression vectors for use in animal cells can be found in Rigby, J. Gen. Virol. 64:255, 1983; Elder et al., Ann. Rev. Genet. 15:295, 1981; and Subramani et al., Anal. Biochem. 135:1, 1983. Appropriate recombinant expression vectors may also include viral vectors, in particular retroviruses (discussed in detail below).

The selectable fusion genes of the present invention are preferably placed under the transcriptional control of a strong enhancer and promoter expression cassette. Examples of such expression cassettes include the human cytomegalovirus immediateearly (HCMV-IE) promoter (Boshart et al., Cell 41:521, 1985), the β-actin promoter (Gunning et al., Proc. Natl. Acad. Sci. (USA) 84:5831, 1987), the histone H4 promoter (Guild et al., J. Virol. 62:3795, 1988), the mouse metallothionein promoter (McIvor et al., Mol. Cell. Biol. 7:838, 1987), the rat growth hormone promoter (Miller et al., Mol. Cell. Biol. 5:431, 1985), the human adenosine deaminase promoter (Hantzapoulos et al., Proc. Natl. Acad. Sci. USA 86:3519, 1989) the HSV tk promoter (Tabin et al., Mol. Cell. Biol. 2:426, 1982), the α-1 antitrypsin enhancer (Peng et al., Proc. Natl. Acad. Sci. USA 85:8146, 1988) and the immunoglobulin enhancer/promoter (Blankenstein et al., Nucleic Acid Res. 16:10939, 1988), the SV40 early or late promoters, the Adenovirus 2 major late promoter, or other viral promoters derived from polyoma virus, bovine papilloma virus, or other retroviruses or adenoviruses. The promoter and enhancer elements of immunoglobulin (Ig) genes confer marked specificity to B lymphocytes (Banerji et al., Cell 33:729, 1983; Gillies et al., Cell 33:717, 1983; Mason et al., Cell 41:479, 1985), while the elements controlling transcription of the β-globin gene function only in erythroid cells (van Assendelft et al., Cell 56:969, 1989). Using well-known restriction and ligation techniques, appropriate transcriptional control sequences can be excised from various DNA sources and integrated in operative relationship with the intact selectable fusion genes to be expressed in accordance with the present invention. Thus, many transcriptional control

10

15

20

25

30

35

sequences may be used successfully in retroviral vectors to direct the expression of inserted genes in infected cells.

Retroviruses

Retroviruses can be used for highly efficient transduction of the selectable fusion genes of the present invention into eukaryotic cells and are preferred for the delivery of a selectable fusion gene into primary cells. Moreover, retroviral integration takes place in a controlled fashion and results in the stable integration of one or a few copies of the new genetic information per cell.

Retroviruses are a class of viruses whose genome is in the form of RNA. The genomic RNA of a retrovirus contains trans-acting gene sequences coding for three viral proteins: a structural protein gag which associates with the RNA in the core of the virus particle; the reverse transcriptase pol which makes the DNA complement; and a envelope glycoprotein env which resides in the lipoprotein envelope of the particles and binds the virus to the surface of host cells on infection. Replication of the retrovirus is regulated by cis-acting elements, such as the promoter for transcription of the proviral DNA and other nucleotide sequences necessary for viral replication. The cis-acting elements are present in or adjacent to two identical untranslated long terminal repeats (LTRs) of about 600 base pairs present at the 5' and 3' ends of the retroviral genome. Retroviruses replicate by copying their RNA genome by reverse transcription into a double-stranded DNA intermediate, using a virus-encoded, RNA-directed DNA polymerase, or reverse transcriptase. The DNA intermediate is integrated into chromosomal DNA of an avian or mammalian host cell. The integrated retroviral DNA is called a provirus. The provirus serves as template for the synthesis of RNA chains for the formation of infectious virus particles. Forward transcription of the provirus and assembly into infectious virus particles occurs in the presence of an appropriate helper virus having endogenous trans-acting genes required for viral replication.

Retroviruses are used as vectors by replacing one or more of the endogenous trans-acting genes of a proviral form of the retrovirus with a recombinant therapeutic gene or, in the case of the present invention, a selectable fusion gene, and then transducing the recombinant provirus into a cell. The trans-acting genes include the gag, pol and env genes which encode, respectively, proteins of the viral core, the enzyme reverse transcriptase and constituents of the envelope protein, all of which are necessary for production of intact virions. Recombinant retroviruses deficient in the trans-acting gag, pol or env genes cannot synthesize essential proteins for replication and are accordingly replication-defective. Such replication-defective recombinant retroviruses are propagated using packaging cell lines. These packaging cell lines

10

15

20

25

30

35

contain integrated retroviral genomes which provide all trans-acting gene sequences necessary for production of intact virions. Provinal DNA sequences which are transduced into such packing cells lines are transcribed into RNA and encapsidated into infectious virions containing the selectable fusion gene (and/or therapeutic gene), but, lacking the trans-acting gene products gag, pol and env, cannot synthesize the necessary gag, pol and env proteins for encapsidating the RNA into particles for infecting other cells. The resulting infectious retrovirus vectors can therefore infect other cells and integrate a selectable fusion gene into the cellular DNA of a host cell, but cannot replicate. Mann et al. (Cell 33:153, 1983), for example, describe the development of various packaging cell lines (e.g., ψ 2) which can be used to produce helper virus-free stocks of recombinant retrovirus. Encapsidation in a cell line harboring trans-acting elements encoding an ecotropic viral envelope (e.g., ψ2) provides ecotropic (limited host range) progeny virus. Alternatively, assembly in a cell line containing amphotropic packaging genes (e.g., PA317, ATCC CRL 9078; Miller and Buttimore, Mol. Cell. Biol. 6:2895, 1986) provides amphotropic (broad host range) progeny virus.

Numerous provirus constructs have been used successfully to express foreign genes (see, e.g., Coffin, in Weiss et al. (eds.), RNA Tumor Viruses, 2nd Ed., Vol. 2, (Cold Spring Harbor Laboratory, New York, 1985, pp. 17-71). Most proviral elements are derived from murine retroviruses. Retroviruses adaptable for use in accordance with the present invention can, however, be derived from any avian or mammalian cell source. Suitable retroviruses must be capable of infecting cells which are to be the recipients of the new genetic material to be transduced using the retroviral vector. Examples of suitable retroviruses include avian retroviruses, such as avian erythroblastosis virus (AEV), avian leukosis virus (ALV), avian myeloblastosis virus (AMV), avian sarcoma virus (ASV), Fujinami sarcoma virus (FuSV), spleen necrosis virus (SNV), and Rous sarcoma virus (RSV); bovine leukemia virus (BLV); feline retroviruses, such as feline leukemia virus (FeLV) or feline sarcoma virus (FeSV); murine retroviruses, such as murine leukemia virus (MuLV); mouse mammary tumor virus (MMTV), and murine sarcoma virus (MSV); and primate retroviruses, such as human T-cell lymphotropic viruses 1 and 2 (HTLV-1, and -2), and simian sarcoma virus (SSV). Many other suitable retroviruses are known to those skilled in the art. A taxonomy of retroviruses is provided by Teich, in Weiss et al. (eds.), RNA Tumor Viruses, 2d ed., Vol. 2 (Cold Spring Harbor Laboratory, New York, 1985, pp. 1-160). Preferred retroviruses for use in connection with the present invention are the murine retroviruses known as Moloney murine leukemia virus (MoMLV), Moloney murine sarcoma virus (MoMSV), Harvey murine sarcoma virus (HaMSV) and Kirsten

10

15

20

25

30

35

murine sarcoma virus (KiSV). the sequences required to construct a retroviral vector from the MoMSV genome can be obtained in conjunction with a pBR322 plasmid sequence such as pMV (ATCC 37190), while a cell line producer of KiSV in K-BALB cells has been deposited as ATCC CCL 163.3. A deposit of pRSVneo, derived from pBR322 including the RSV LTR and an intact neomycin drug resistance marker is available from ATCC under Accession No. 37198. Plasmid pPB101 comprising the SNV genome is available as ATCC 45012. The viral genomes of the above retroviruses are used to construct replication-defective retrovirus vectors which are capable of integrating their viral genomes into the chromosomal DNA of an infected host cell but which, once integrated, are incapable of replication to provide infectious virus, unless the cell in which it is introduced contains other proviral elements encoding functional active *trans*-acting viral proteins.

The selectable fusion genes of the present invention which are transduced by retroviruses are expressed by placing the selectable fusion gene under the transcriptional control of the enhancer and promoter incorporated in the retroviral LTR, or by placing them under the control of a heterologous transcriptional control sequences inserted between the LTRs. Use of both heterologous transcriptional control sequences and the LTR transcriptional control sequences enables coexpression of a therapeutic gene and a selectable fusion gene in the vector, thus allowing selection of cells expressing specific vector sequences encoding the desired therapeutic gene product. Obtaining high-level expression may require placing the therapeutic gene and/or selectable fusion gene within the retrovirus under the transcriptional control of a strong heterologous enhancer and promoter expression cassette. Many different heterologous enhancers and promoters have been used to express genes in retroviral vectors. Such enhancers or promoters can be derived from viral or cellular sources, including mammalian genomes, and are preferably constitutive in nature. Such heterologous transcriptional control sequences are discussed above with reference to recombinant expression vectors. To be expressed in the transduced cell, DNA sequences introduced by any of the above gene transfer methods are usually expressed under the control of an RNA polymerase II promoter.

Particularly preferred recombinant expression vectors for use in retroviruses include pLXSN, pLNCX and pLNL6, and derivatives thereof, which are described by Miller and Rosman, *Biotechniques* 7:980, 1989. These vectors are capable of expressing heterologous DNA under the transcriptional control of the retroviral LTR or the CMV promoter, and the *neo* gene under the control of the SV40 early region promoter or the retroviral LTR. For use in the present invention, the *neo* gene is replaced with the bifunctional selectable fusion genes disclosed herein, such as the

10

15

20

25

30

35

HyTK selectable fusion gene. Construction of useful replication-defective retroviruses is a matter of routine skill. The resulting recombinant retroviruses are capable of integration into the chromosomal DNA of an infected host cell, but once integrated, are incapable of replication to provide infectious virus, unless the cell in which it is introduced contains another proviral insert encoding functionally active *trans*-acting viral proteins.

Uses of Bifunctional Selectable Fusion Genes

The selectable fusion genes of the present invention are particularly preferred for use in gene therapy as a means for identifying, isolating or eliminating cells, such as somatic cells, into which the selectable fusion genes are introduced. In gene therapy, somatic cells are removed from a patient, transduced with a recombinant expression vector containing a therapeutic gene and the selectable fusion gene of the present invention, and then reintroduced back into the patient. Somatic cells which can be used as vehicles for gene therapy include hematopoietic (bone marrow-derived) cells, keratinocytes, hepatocytes, endothelial cells and fibroblasts (Friedman, Science 244:1275, 1989). Alternatively, gene therapy can be accomplished through the use of injectable vectors which transduce somatic cells in vivo. The feasibility of gene transfer in humans has been demonstrated (Kasid et al., Proc. Natl. Acad. Sci. USA 87:473, 1990; Rosenberg et al., N. Engl. J. Med. 323:570, 1990).

The selectable fusion genes of the present invention are particularly useful for eliminating genetically modified cells *in vivo*. *In vivo* elimination of cells expressing a negative selectable phenotype is particularly useful in gene therapy as a means for ablating a cell graft, thereby providing a means for reversing the gene therapy procedure. For example, it has been shown that administration of the anti-herpes virus drug ganciclovir to transgenic animals expressing the HSV-I TK gene from an immunoglobulin promoter results in the selective ablation of cells expressing the HSV-I TK gene (Heyman et al., *Proc. Natl. Acad. Sci (USA)* 86:2698, 1989). Using the same transgenic mice, GCV has also been shown to induce full regression of Abelson leukemia virus-induced lymphomas ((Moolten et al., *Human Gene Therapy* 1:125, 1990). In a third study, in which a murine sarcoma (K3T3) was infected with a retrovirus expressing HSV-I TK and transplanted into syngeneic mice, the tumors induced by the sarcoma cells were completely eradicated following treatment with GCV (Moolten and Wells, *J. Natl. Cancer Inst.* 82:297, 1990).

The bifunctional selectable fusion genes of the present invention can also be used to facilitate gene modification by homologous recombination. Reid et al., *Proc. Natl. Acad. Sci. USA* 87:4299, 1990 has recently described a two-step procedure for

10

15

20

25

30

gene modification by homologous recombination in ES cells ("in-out" homologous recombination) using the HPRT gene. Briefly, this procedure involves two steps: an "in" step in which the HPRT gene is embedded in target gene sequences, transfected into HPRT- host cells and homologous recombinants having incorporated the HPRT gene into the target locus are identified by their growth in HAT medium and genomic analysis using PCR. In a second "out" step, a construct containing the desired replacement sequences embedded in the target gene sequences (but without the HPRT gene) is transfected into the cells and homologous recombinants having the replacement sequences (but not the HPRT gene) are isolated by negative selection against HPRT+ cells. Although this procedure allows the introduction of subtle mutations into a target gene without introducing selectable gene sequences into the target gene, it requires positive selection of transformants in a HPRT cell line, since the HPRT gene is recessive for positive selection. Also, due to the inefficient expression of the HPRT gene in ES cells, it is necessary to use a large 9-kbp HPRT mini-gene which complicates the construction and propagation of homologous recombination vectors. The selectable fusion genes of the present invention provide an improved means whereby "in-out" homologous recombination may be performed. Because the selectable fusion genes of the present invention are dominant for positive selection, any wild-type cell may be used (i.e., one is not limited to use of cells deficient in the selectable phenotype). Moreover, the size of the vector containing the selectable fusion gene is reduced significantly relative to the large HPRT mini-gene.

By way of illustration, the HyTK selectable fusion gene is used as follows: In the first "in" step, the HyTK selectable fusion gene is embedded in target gene sequences, transfected into a host cell, and homologous recombinants having incorporated the HyTK selectable fusion gene into the target locus are identified by their growth in medium containing Hm followed by genome analysis using PCR. The HyTK+ cells are then used in the second "out" step, in which a construct containing the desired replacement sequences embedded in the target gene sequences (but without the HyTK selectable fusion gene) is transfected into the cells. Homologous recombinants are isolated by selective elimination of HyTK+ cells using ganciclovir followed by genome analysis using PCR.

EXAMPLES

Example 1

5

25

30

35

Construction and Characterization of Plasmid Vectors Containing HyTK Selectable Fusion Gene

A. Construction of the Bifunctional HvTK Selectable Fusion Gene. The hph 10 and HSV-I TK genes were first placed under the regulatory control of the HCMV promoter in tgCMV/hygro and tgCMV/TK, respectively. Plasmid tgCMV/hygro (Figure 1) consists of the following elements: the Ball-SstII fragment containing the HCMV IE94 promoter (Boshart et al., Cell 41:521, 1985); an oligonucleotide containing a sequence conforming to a consensus translation initiation sequence for 15 mammalian cells (GCCGCCACC ATG) (Kozak, Nucl. Acids Res. 15:8125, 1987); nucleotides 234-1256 from the hph gene (Kaster et al., Nucl. Acids Res. 11:6895, 1983), encoding hygromycin phosphotransferase; the BcII-BamHI fragment from the SV40 genome (Tooze, J., ed., Molecular Biology of Tumor Viruses, 2nd Ed. DNA Tumor Viruses. Cold Spring Harbor Laboratory, New York, 1981), containing the 20 SV40 early region polyadenylation sequence; the NruI-AlwNI fragment from pML2d (Lusky and Botchan, Nature 293:79, 1981), containing the bacterial replication origin; and the AlwNI-AatII fragment from pGEM1 (Promega Corporation), containing the ßlactamase gene.

Plasmid tgCMV/TK (Figure 1) is similar to tgCMV/hygro, but contains nucleotides 519-1646 from the HSV-I TK gene (Wagner et al., *Proc. Natl. Acad. Sci. USA* 78:1441, 1981) in place of the *hph* gene.

Plasmid tgCMV/HyTK (Figure 1), containing the selectable fusion gene comprising the *hph* gene and the HSV-I TK gene, was constructed by inserting the 1644-bp SpeI-ScaI fragment from tgCMV/hygro between the SpeI and MluI sites of tgCMV/TK. Before ligation, the MluI site in the HSV-I TK gene was treated with T4 DNA polymerase to allow blunt end ligation with the ScaI site, thus preserving the open reading frame. Translation of this fused gene (referred to as the HyTK selectable fusion gene) is expected to generate a single bifunctional fusion protein, consisting of amino acids 1-324 from the *hph* protein and amino acids 10-376 from the HSV-I TK protein. The C-terminal 17 amino acids of the *hph* protein, and the N-terminal 9 amino acids of the TK protein, are deleted in the bifunctional fusion protein.

B. <u>Dominant Positive Selection of Cells Containing the HyTk Selectable</u>
<u>Fusion Gene</u>. To demonstrate that the HyTK selectable fusion gene encodes both *hph*

10

15

20

25

and TK enzymatic activities, the frequencies with which tgCMV/HyTK conferred hygromycin resistance (Hm^r) (in NIH/3T3 cells and Rat-2 cells), and the ability to grow in medium containing hypoxanthine, aminopterin, and thymidine (HAT^r) (in Rat-2 cells), were compared with those of the parental plasmids, tgCMV/hygro and tgCMV/TK, respectively.

NIH/3T3 cells were grown in Dulbecco's Modified Eagle Medium (DMEM; Gibco Laboratories) supplemented with 10% bovine calf serum (Hyclone), 2 mM Lglutamine, 50 U/ml penicillin, and 50 µg/ml streptomycin at 37 °C in a humidified atmosphere supplemented with 10% CO₂. TK- Rat-2 cells (Topp, Virology 113:408, 1981) were grown in DMEM supplemented with 10% fetal bovine serum (Hyclone), 2 mM L-glutamine, 50 U/ml penicillin, and 50 μ g/ml streptomycin at 37°C in a humidified atmosphere supplemented with 10% CO2. NIH/3T3 and Rat-2 cells were transfected with the DNA vectors described above by electroporation, as follows. Exponentially growing NIH/3T3 and Rat-2 cells were harvested by trypsinization, washed free of serum, and resuspended in DMEM at a concentration of 10⁷ cells/ml. Supercoiled plasmid DNA (5 μ g) was added to 800 μ l of cell suspension (8x10⁶ cells), and the mixture subjected to electroporation using the Biorad Gene Pulser and Capacitance Extender (200-300 V, 960 µF, 0.4 cm electrode gap, at ambient temperature). Following transfection, the cells were returned to 9-cm dishes and grown in non-selective medium. After 24 hours, the cells were trypsinized, seeded at 6x10⁵ per 9-cm dish, and allowed to attach overnight. The non-selective medium was replaced with selective medium (containing 500 µg/ml hygromycin B for NIH3T3 cells, and 300 µg/ml hygromycin B or HAT for Rat-2 cells), and selection was continued for approximately 10-12 days until colonies were evident. The plates were stained with methylene blue and counted. The results are shown in Table 1 below. The number of colonies reported is the average number of colonies per 9-cm dish.

TABLE 1

	NIH3T3 Cells	Rat-	2 Cells	
	No. Hm ^r	No. Hm ^r	No. HAT	
Plasmid	Colonies	Colonies	Colonies	
tgCMV/hygro	45	368	n.t.	
tgCMV/TK	n.t.	n.t.	356	
tgCMV/HyTK	100	428	124	

n.t.= not tested.

10

15

20

25

30

35

In both cell lines, tgCMV/HyTK gave rise to Hm^r colonies at a slightly higher frequency than tgCMV/hygro. However, in Rat-2 cells, tgCMV/HyTK was slightly less efficient than tgCMV/TK in generating HAT^r colonies. This demonstrates that the HyTK selectable fusion gene encodes both *hph* and TK enzymatic activities, although with altered efficiencies.

C. Negative Selection of Cells Containing the HyTK Selectable Fusion Gene. To investigate the utility of the HyTK selectable fusion gene for negative selection, the colonies resulting from each transfection (Table 1) were pooled and expanded into cell lines for further analysis. The Hm^r NIH/3T3 cell pools and the Hm^r and HAT^r Rat-2 cell pools were tested for GCV^S in a short term cell proliferation assay as follows.

The transfected NIH/3T3 and Rat-2 cells (3 x 10⁴ of each) were seeded into 9-cm tissue culture dishes in complete growth medium, and allowed to attach for 4 hours. The medium was then supplemented with various concentrations of GCV (Syntex, Palo Alto, CA), and the cells incubated for an additional 60 hours. At this time, the medium was removed, the attached cells were harvested by trypsinization and stained with trypan blue, and viable cells were counted. Cell growth was expressed as a fraction of the cell growth observed in the absence of GCV. The results shown are the average of triplicate assays.

The results shown in Figure 3 demonstrate that the HyTK selectable fusion gene confers GCVs in NIH/3T3 cells. The degree of inhibition of cell growth was proportional to the concentration of GCV used, and approached 100% at a concentration of 1 μ M. In contrast, NIH/3T3 cells transfected with tgCMV/hygro were not adversely affected by GCV over the range of concentrations tested (0.03 μ M - 1.0 μ M).

The results shown in Figure 4 indicate that the HyTK selectable fusion gene is more effective than the HSV-I TK gene for negative selection in Rat-2 cells. Growth of Rat-2 cells transfected with tgCMV/HyTK was almost completely inhibited even at the lowest concentration of GCV used (0.03 µM), whether the cells were initially selected for Hm^T or HAT^T. Growth of Rat-2 cells transfected with tgCMV/hygro was not inhibited by GCV over the range of concentrations tested (0.03 µM - 1.0 µM). The growth of Rat-2 cells transfected with tgCMV/TK was inhibited by GCV, but the concentrations required for growth inhibition were much higher than those required to inhibit the growth of Rat-2 cells transfected with tgCMV/HyTK. The Rat-2 cells transfected with tgCMV/TK were less sensitive to GCV than the Rat-2 cells transfected with tgCMV/HyTK. This appears to conflict with the result obtained when the two genes were used for positive selection in Rat-2 cells (Table 1), which indicated that the

10

15

20

25

30

35

HyTK selectable fusion gene was less effective than the HSV-I TK gene in conferring HAT^T. A further observation concerning the relative sensitivities of these cell lines to GCV was that the NIH/3T3 cells transfected with tgCMV/HyTK were less sensitive to GCV than the Rat-2 cells transfected with tgCMV/HyTK.

D. Northern Analysis of Transfected Cell Lines. To investigate the basis for the the differential sensitivities of the Hm^I and HAT^I NIH/3T3 and Rat-2 cell pools to GCV (Figures 3 and 4), and the altered efficiency with which the HyTK selectable fusion gene gave rise to Hm^I and HAT^I colonies (Table 1), Northern blots of mRNA from each cell pool were probed with sequences from the *hph* and HSV-I TK genes, as follows.

Polyadenylated mRNA was prepared according to standard procedures (Ausabel et al., eds., Current protocols in Molecular Biology. Wiley, New York., 1987). RNA samples (10 µg) were electrophoresed through 1.1% agarose gels containing formaldehyde as described (Ausabel et al., supra). Following electrophoresis, the gels were inverted and blotted by capillary transfer in 20 x SSC onto Duralon UV nylon membranes (Stratagene). After fixing the mRNA to the membrane by UV-irradiation (0.12 J/cm²), the membranes were incubated in Stark's buffer (50% formamide, 5 x SSC, 50 mM potassium phosphate (pH 6.5), 1% SDS, 0.1% Ficoll, 0.1% PVP, 0.1% BSA, 300 µg/ml sheared and denatured salmon sperm DNA, 0.05% Sarkosyl) at 50 °C for several hours. A uniformly labelled single stranded antisense RNA probe specific for hph was prepared (Ausabel et al., supra), 1 x 10⁶ cpm/ml were added to the hybridization mixture, and the incubation was continued at 63 °C for 15 h. The membrane was then washed in 0.1 x SSC, 0.1% SDS at 63 °C, and exposed to autoradiographic film (Kodak XAR-5). For detection of HSV-I TK and B-actin sequences, gel-purified restriction fragments from the HSV-I TK and B-Actin genes were radiolabelled by random priming (Ausabel et al., supra). Membranes were pre-hybridized in Starks buffer at 42°C for several hours, after which 1 x 10⁶ cpm/ml of probe was added to the hybridization mixture and incubation continued at 42°C for 15 hours. The membranes were then washed in 6 x SSC, 1% SDS at 63°C, and exposed to autoradiographic film (Kodak XAR-5).

In both Rat-2 and NIH/3T3 cells, the steady state level of mRNA detected with the hph probe was higher in the cells transfected with tgCMV/hygro than the cells transfected with tgCMV/HyTK and selected for Hm^r (Figure 5, gel A, lanes 5 and 6). This may indicate that a higher level of expression of the hph gene is required to confer resistance to equivalent levels of hygromycin B (300 μ g/ml in Rat-2, and 500 μ g/ml in NIH/3T3), due to the fact that the bifunctional fusion protein is more effective than the hph protein at inactivating hygromycin B, or is more stable than the hph protein. This

10

15

20

conclusion is supported by the results in Table 1, which show that tgCMV/HyTK gave rise to a slightly greater number of Hm^r colonies in both cell lines than did tgCMV/hygro.

The RNA Northern analysis also indicated that the Rat-2 cells transfected with tgCMV/TK expressed a steady state level of mRNA similar to the Rat-2 cells transfected with tgCMV/HyTK and selected for HAT^T (Figure 5, gel B, lanes 2 and 4). However, tgCMV/TK gave rise to a greater number of HAT^T cells than did tgCMV/HyTK (Table 1). This suggests that the HyTK selectable fusion protein is less effective than the HSV-I TK protein at phosphorylating thymidine, or is less stable than the HSV-I TK protein.

Finally, the Rat-2 cells transfected with tgCMV/HyTK expressed steady state levels of mRNA several fold higher than (when selected for Hmr: Figure 5, gel B, lane 3), or similar to (when selected for HATr; Figure 5, gel B, lane 4), the Rat-2 cells transfected with tgCMV/TK (Figure 5, gel B, lane 2). However, both the Rat-2 cell pools transfected with tgCMV/HyTK were over 30-fold more sensitive to GCV than the Rat-2 cells transfected with tgCMV/TK (Figure 4). This suggests that the bifunctional fusion protein is markedly more effective than the HSV-I TK protein at phosphorylating ganciclovir, or is markedly more stable than the HSV-I TK protein. The increased ability of the bifunctional fusion protein to confer GCVs, and concomitant decreased ability to confer HATr, suggests that the substrate affinity of the bifunctional fusion protein is altered relative to that of the HSV-I TK protein, rather than the stability.

Example 2

25

30

35

Construction and Characterization of Retroviral Vectors Containing HvTK Selectable Fusion Gene

A. <u>Construction of Retroviral Vectors</u>. Two retroviral expression vectors containing the HyTK selectable fusion gene were constructed. In the first, tgLS(+)HyTK, the HyTK selectable fusion gene was placed under the regulatory control of the promoter present in the retroviral LTR. In the second, tgLS(-)CMV/HyTK, the HyTK selectable fusion gene was placed under the regulatory control of the HCMV promoter.

The retroviral expression vector tgLS(+)HyTK (the proviral structure of which is shown in Figure 2) consists of the following elements: the 5' LTR and sequences through the PstI site at nucleotide 984 of MoMSV (Van Beveren et al., *Cell* 27:97, 1981); sequences from the PstI site at nucleotide 563 to nucleotide 1040 of MoMLV

10

15

20

25

30

35

(Shinnick et al., Nature 293:543, 1981), incorporating point mutations (ATG \rightarrow TAG) which eliminate the Pr65 gag translation initiation codon (Bender et al., J. Virol. 61:1639, 1987); a fragment from tgCMV/HyTK, containing the HyTK selectable fusion gene; sequences from nucleotide 7764 and through the 3' LTR of MoMLV (Shinnick et al., Nature 293:543, 1981); the NruI-AlwNI fragment from pML2d (Lusky and Botchan, Nature 293:79, 1981), containing the bacterial replication origin; and the AlwNI-AatII fragment from pGEM1 (Promega Corporation), containing the β -lactamase gene.

The retroviral expression vector tgLS(-)CMV/HyTK (the proviral structure of which is shown in Figure 2) is similar to tgLS(+)HyTK, but carries a point mutation (AGGT \rightarrow AGGC) which eliminates the MoMSV-derived splice donor sequence (transferred from the retroviral vector, ΔH [Overell et al., *Mol. Cell. Biol.* 8:1803, 1988]), and contains the HCMV promoter upstream of the HyTK selectable fusion gene sequences.

The retroviral expression vector tgLS(+)HyTK/stop (the proviral structure of which is shown in Figure 2) was derived from tgLS(+)HyTK by inserting the universal translation terminator oligonucleotide (Pharmacia), 5'-GCTTAATTAATTAAGC-3', at the NaeI site located near the junction of the *hph* and HSV-I TK sequences of the HyTK selectable fusion gene.

B. Generation of Stable Cell Lines Producing Retroviral Vectors. Stable Ψ2 packaging cell lines were generated which produce the above ecotropic retroviruses as follows. Ψ2 cells (Mann et al., Cell 33:153, 1983) were grown in Dulbecco's Modified Eagle Medium (DMEM; Gibco Laboratories) supplemented with 10% bovine calf serum (Hyclone), 2 mM L-glutamine, 50 U/ml penicillin, and 50 μg/ml streptomycin at 37 °C in a humidified atmosphere supplemented with 10% CO₂. PA317 cells (Miller and Buttimore, Mol. Cell. Biol. 6:2895, 1986) were grown in DMEM supplemented with 10% fetal bovine serum (Hyclone), 2 mM L-glutamine, 50 U/ml penicillin, and 50 μg/ml streptomycin at 37 °C in a humidified atmosphere supplemented with 10% CO₂.

The retroviral expression vectors described above were first transfected into PA317 amphotropic packaging cells by electroporation. Amphotropic virions produced by the transiently transfected PA317 packaging cells were then used to infect the $\Psi 2$ cells as follows. Exponentially growing PA317 cells were harvested by trypsinization, washed free of serum, and resuspended in DMEM at a concentration of 10^7 cells/ml. Supercoiled plasmid DNA (5 μ g) was added to 800 μ l of cell suspension (8x10⁶ cells), and the mixture subjected to electroporation using the Biorad Gene Pulser and Capacitance Extender (200-300 V, 960 μ F, 0.4 cm electrode gap, at ambient

10

15

20

25

30

35

temperature). The transfected PA317 cells were then transferred to a 9-cm tissue culture dish containing 10 ml of complete growth medium supplemented with 10 mM sodium butyrate (Sigma Chemical Co.), and allowed to attach overnight. After 15 hours, the medium was removed and replaced with fresh medium. After a further 24 hours, the medium containing transiently produced amphotropic retrovirus particles was harvested, centrifuged at 2000 rpm for 10 min, and used to infect the Ψ2 ecotropic packaging cells. Exponentially dividing Ψ2 cells were plated at a density of 10⁶ cells per 9-cm tissue culture dish, and allowed to attach overnight. The following day, the medium was removed and replaced with serial dilutions of the virus-containing supernatant (6 ml/dish) in medium supplemented with 4 µg/ml polybrene (Sigma Chemical Co.). Infection of the \P2 cells by the viral particles was allowed to proceed overnight, and then the supernatant was replaced with complete growth medium. Infected cells were selected for drug resistance after a further 8-24 hours of growth by adding hygromycin B (Calbiochem) to a final concentration of 500 µg/ml. Colonies of Hm^r cells were isolated using cloning cylinders 12-14 days later, and individually expanded into bulk cultures for analysis. Southern analysis (data not shown) revealed that the proviral structures were intact in six out of six independent clones, indicating that the HyTK selectable fusion gene is compatible with the retroviral life cycle.

C. Transduction of Hm^r, HAT^r, and GCV^s by tgLS(+)HvTK and tgLS(-) CMV/HyTK Retroviral Expression Vectors. The infected Ψ2 clones were titered on NIH/3T3 cells (selecting for Hm^r), and on Rat-2 cells (selecting for Hm^r, or for HAT^r) (Table 2), as follows. The \P2 clones producing the virus were grown to confluence in 9-cm tissue culture dishes, then fed with 15 ml of drug-free medium. After an overnight incubation, aliquots of supernatant were taken for assay. Exponentially dividing NIH/3T3 or Rat-2 cells were harvested by trypsinization and seeded at a density of 2.5 x 10⁴ cells per 35 mm well in 6-well tissue culture trays. The following day, the medium was replaced with serial dilutions of virus-containing supernatant (1 ml/well) in medium supplemented with 4 µg/ml polybrene (Sigma Chemical Co.). All supernatants were centrifuged at 2000 rpm for 10 min before use to remove viable cells. Infection was allowed to proceed overnight, and then the supernatant was replaced with complete growth medium. Infected cells were selected for drug resistance after a further 8-24 hours of growth by adding hygromycin B (Calbiochem) to a final concentration of 500 µg/ml (NIH/3T3 cells) or 300 µg/ml (Rat-2 cells), or by adding HAT supplement (Gibco) (Rat-2 cells). After a total of 12-14 days of growth, cells were fixed in situ with 100% methanol, and stained with methylene blue.

As shown in Table 2, below, both retroviruses conferred Hm^r (to NIH/3T3 and Rat-2 cells) and HAT^r (to Rat-2 cells). All viruses were harvested from a clone of

25

30

35

infected \P2 cells.

TABLE 2

	Titers of ecotrop packaging cells or	ic retroviruses n NIH/3T3 cel	produced by Ψ2 ls and Rat-2 cells.					
		NIH/3T3	Rat-	2				
)	Virus	Hm ^r CFU/ml	Hm ^r CFU/ml	HAT ^r CFU/ml				
	tgLS(+)HyTK clone 5.5	1.8 x 10 ⁷	1.6 x 10 ⁷	4 x 10 ⁶				
	tgLS(-)CMV/HyTK clone 6.2	1 x 10 ⁶	1 x 10 ⁶	8 x 10 ⁵				

To demonstrate that the tgLS(+)HyTK and tgLS(-)CMV/HyTK retroviruses also conferred GCV^S, NIH/3T3 cells infected with the two retroviruses, were selected for Hm^r (500 µg/ml) for 10 days, and then pooled, expanded, and tested for GCV^S in the following long-term cell proliferation assay.

Uninfected NIH/3T3 cells and the infected NIH/3T3 cell pools were plated at relatively low cell density (104 cells/9-cm dish) in complete growth medium and allowed to attach for 4 hours. The medium was then supplemented with hygromycin B (500 μ g/ml), with or without 1 μ M GCV, and the cells incubated for a period of 10 days. The medium was then removed and the cells were fixed in situ with 100% methanol and stained with methylene blue. The growth of both cell pools, as measured by colony formation, was almost completely inhibited by GCV (Figure 6, plates e and g), indicating that both retroviruses conferred Hmr and GCVS. Uninfected NIH/3T3 were resistant to this concentration of GCV, and grew to a confluent monolayer (Figure 6, plate b), but were completely killed by 500 µg/ml Hm (Figure 6, plate c). Colonies of cells resistant to both Hm and GCV were obtained at a low frequency (10-4-10-3) from the retrovirus-infected populations (Figure 6, plates e and g). The proviruses present in the cells that gave rise to these colonies had likely suffered point mutations, or very small deletions or rearrangements in the HSV-I TK moiety which eliminated the ability to phosphorylate GCV. Similar results were also obtained with Rat-2 cell lines infected with tgLS(+)HyTK or with tgLS(-)CMV/HyTK (data not shown).

Example 3

Evidence for the Production of a Bifunctional Selectable Fusion Protein

5

10

15

20

25

In HSV-I infected cells, the HSV-I TK gene normally utilizes three translation initiation sites, and encodes three nested polypeptides which all possess TK activity (Haarr et al., J. Virol. 56:512, 1985). Since the HyTK selectable fusion gene retains two of these initiation codons, it was conceivable that, as a result of translation initiation at one or both of these internal AUG codons, the HyTK selectable fusion gene might also encode nested polypeptides possessing TK activity. The bifunctional fusion protein, while retaining hph activity, might or might not possess TK activity. To rule out this possibility, an oligonucleotide sequence, 5'-GCTTAATTAATTAAGC-3', bearing translation termination codons in all three reading frames was introduced into the HyTK selectable fusion gene in tgLS(+)HyTK, generating the construct designated tgLS(+)HyTK/stop (Figure 1B). The oligonucleotide was inserted at a Nael site downstream of the hph-derived sequences, but upstream of the two internal AUG codons in the HSV-I TK-derived sequences of the HyTK selectable fusion gene (Figure 1B). The tgLS(+)HyTK and tgLS(+)HyTK/stop retroviral expression vectors were transfected into Ψ2 cells, and the transiently produced virus was used to infect Rat-2 cells, which were then selected for Hm^r or HAT^r (Table 3). The retroviral expression vector tgLS(+)HyTK transduced both Hm^r and HAT^r, but retroviral expression vector tgLS(+)HyTK/stop was only able to transduce Hmr. Insertion of the translation termination codons completely abolished the ability of the retrovirus to transduce HATT, indicating that the internal translation initiation codons are not utilized in the HyTK selectable fusion gene, and the HyTK selectable fusion gene does indeed encode a bifunctional fusion protein. Viruses were harvested from transiently transfected Ψ2 cells.

TABLE 3

30

Titers of ecotropic retroviruses produced transiently in Ψ2 packaging cells on NIH/3T3 cells and Rat-2 cells.

35

	NIH3T3	Rat-2	2	
	Hm ^r	Hm^r	HATT	
Virus	CFU/ml	CFU/ml	CFU/ml	
tgLS(+)HyTK	4.5×10^4	9.5×10^3	1.1×10^4	
tgLS(-)CMV/HyTK	2.6×10^4	5.9×10^4	0	

15

20

25

30

35

As described in the above examples, retroviral expression vectors containing the HyTK selectable fusion gene were constructed and used to demonstrate the efficacy of the HyTK selectable fusion gene for positive and negative selection in NIH/3T3 and Rat-2 cells. High titer virus stocks were generated, which conferred both Hmr and HAT on infected cells. Infected cells contained unrearranged proviruses and were killed (>99.9%) by GCV. The HyTK selectable fusion gene was slightly more effective than the hph gene at conferring Hmr in both NIH/3T3 and Rat-2 cells (Table 1). Genetic evidence that the HyTK selectable fusion gene encodes a bifunctional fusion protein possessing hph and HSV-I TK enzymatic activities was obtained by inserting translation termination codons into the HyTK selectable fusion gene (in tgLS(+)HyTK/stop; Figure 2), downstream of the hph-derived sequences, but upstream of the HSV-I TK-derived sequences. As would be expected if the HyTK selectable fusion gene encoded a bifunctional fusion protein, insertion of the translation termination codons left the ability of the virus to confer Hmr intact, but abolished the ability of the retrovirus to transduce HATr (Table 3). When compared with the HSV-I TK gene in Rat-2 cells, the HyTK selectable fusion gene was slightly less effective at conferring ability to grow in HAT medium (Table 1), but markedly more effective at conferring GCVS (Figure 4). These observations cannot be explained on the basis of the relative steady state levels of mRNA expression (Figure 5), nor on the basis of changes in the stability of the HyTK selectable fusion protein. The apparent contradiction might be explained by hypothesizing that the HSV-I TK-derived moiety of the HyTK selectable fusion protein possesses a substrate affinity different from that of the wild-type HSV-I TK protein (possibly due to conformational change), with a reduced ability to phosphorylate thymidine and an increased ability to phosphorylate GCV. Altered substrate affinities have been noted previously in a number of pathogenic drug-resistant strains of HSV-I, which encode mutant TK proteins that exhibit a reduced ability to phosphorylate thymidine analogs, yet retain the ability to phosphorylate thymidine (Larder et al., J. Biol. Chem. 258:2027, 1983; Palu et al., Virus Res. 13:303, 1989; Larder and Darby, Antiviral Res. 4:1, 1984). The slightly increased efficiency with which the HyTK selectable fusion gene confers Hm^r, relative to the hph gene (Table 1), may be due to an increase in protein stability, or an increased specific activity of the phosphotransferase.

Moreover, a single approximately 76 kD protein was specifically immunoprecipitated by a rabbit polyclonal antiserum directed against HSV-I TK from extracts of cells expressing the HyTK selectable fusion gene. Thus the phenotype conferred by the HyTK selectable fusion gene was not due to internal translation initiation in the HSV-I TK derived moiety of the gene, and the HyTK selectable fusion

gene does indeed encode a bifunctional selectable fusion gene.

SEQUENCE LISTING

- (1) GENERAL INFORMATION:
 - (i) APPLICANT: Lupton, Stephen D.
 - (ii) TITLE OF INVENTION: Bifunctional Selectable Fusion Genes
 - (iii) NUMBER OF SEQUENCES: 2
 - (iv) CORRESPONDENCE ADDRESS:
 - (A) ADDRESSEE: Immunex Corporation
 - (B) STREET: 51 University Street
 - (C) CITY: Seattle
 - (D) STATE: WA
 - (E) COUNTRY: USA
 - (F) ZIP: 98101
 - (v) COMPUTER READABLE FORM:
 - (A) MEDIUM TYPE: Diskette, 3.50 inch, 800 Kb storage
 - (B) COMPUTER: Apple Macintosh
 - (C) OPERATING SYSTEM: Maintosh
 - (D) SOFTWARE: Microsoft Word
 - (vi) CURRENT APPLICATION DATA:
 - (A) APPLICATION NUMBER:
 - (B) FILING DATE:
 - (C) CLASSIFICATION:
 - (viii) PRIOR APPLICATION DATA:
 - (A) APPLICATION NUMBER: 07/612,326
 - (B) FILING DATE: 13-NOV-1990
 - (ix) ATTORNEY/AGENT INFORMATION:
 - (A) NAME: Wight, Christopher L.
 - (B) REGISTRATION NUMBER: 31,680
 - (C) REFERENCE/DOCKET NUMBER: 2702-A
 - (x) TELECOMMUNICATION INFORMATION:
 - (A) TELEPHONE: (206) 587-0430
 - (B) TELEFAX: (206) 587-0606
 - (C) TELEX: 756822
- (2) INFORMATION FOR SEQ ID NO:1:
 - (i) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH: 2076 base pairs
 - (B) TYPE: nucleic acid
 - (C) STRANDEDNESS: double
 - (D) TOPOLOGY: linear
 - (ii) MOLECULE TYPE: DNA (genomic)
 - (iii) HYPOTHETICAL: N
 - (iv) ANTI-SENSE: N
 - (ix) FEATURE:
 - (A) NAME/KEY: CDS

(B) LOCATION: 1..2076

(D) OTHER INFORMATION:

(ix) FEATURE:

(A) NAME/KEY: mat_peptide
(B) LOCATION: 1..2073
(D) OTHER INFORMATION:

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:

(AI) DEGESTION DESCRIPTION. DEG ID NO.I.																
		AAG Lys														48
		TTC Phe														96
		CGT Arg 35														144
CGG Arg	GTA Val 50	AAT Asn	AGC Ser	TGC Cys	GCC Ala	GAT Asp 55	GGT Gly	TTC Phe	TAC Tyr	AAA Lys	GAT Asp 60	CGT Arg	TAT Tyr	GTT Val	TAT Tyr	192
		TTT Phe														240
GGG Gly	GAA Glu	TTC Phe	AGC Ser	GAG Glu 85	AGC Ser	CTG Leu	ACC Thr	TAT Tyr	TGC Cys 90	ATC Ile	TCC Ser	CGC Arg	CGT Arg	GCA Ala 95	CAG Gln	288
GGT Gly	GTC Val	ACG Thr	TTG Leu 100	CAA Gln	GAC Asp	CTG Leu	CCT Pro	GAA Glu 105	ACC Thr	GAA Glu	CTG Leu	CCC Pro	GCT Ala 110	GTT Val	CTG Leu	336
CAG Gln	CCG Pro	GTC Val 115	GCG Ala	GAG Glu	GCC Ala	ATG Met	GAT Asp 120	GCG Ala	ATC Ile	GCT Ala	GCG Ala	GCC Ala 125	GAT Asp	CTT Leu	AGC Ser	384
CAG Gln	ACG Thr 130	AGC Ser	GGG	TTC Phe	GGC Gly	CCA Pro 135	TTC Phe	GGA Gly	CCG Pro	CAA Gln	GGA Gly 140	ATC Ile	GGT Gly	CAA Gln	TAC Tyr	432
		TGG Trp														480
CAC His	TGG Trp	CAA Gln	ACT Thr	Val	Met	Asp	Asp	Thr	Val	Ser	GCG Ala	TCC Ser	Val	GCG Ala 175	${\tt Gln}$	528
GCT Ala	CTC Leu	GAT Asp	GAG Glu 180	CTG	ATG Met	CTT Leu	TGG Trp	GCC Ala 185	GAG Glu	GAC Asp	TGC Cys	CCC Pro	GAA Glu 190	GTC Val	CGG Arg	576
CAC His	CTC	GTG Val 195	CAC His	GCG Ala	GAT Asp	TTC Phe	GGC Gly 200	TCC Ser	AAC Asn	AAT Asn	GTC Val	CTG Leu 205	ACG Thr	GAC Asp	AAT Asn	624

GGC Gly	CGC Arg 210	ATA Ile	ACA Thr	GCG Ala	GTC Val	ATT Ile 215	GAC Asp	TGG Trp	AGC Ser	GAG Glu	GCG Ala 220	ATG Met	TTC Phe	Gly	GAT Asp	672
TCC Ser 225	CAA Gln	TAC Tyr	GAG Glu	GTC Val	GCC Ala 230	AAC Asn	ATC Ile	TTC Phe	TTC Phe	TGG Trp 235	AGG Arg	CCG Pro	TGG Trp	TTG Leu	GCT Ala 240	720
TGT Cys	ATG Met	GAG Glu	CAG Gln	CAG Gln 245	ACG Thr	CGC Arg	TAC Tyr	TTC Phe	GAG Glu 250	CGG Arg	AGG Arg	CAT His	CCG Pro	GAG Glu 255	CTT Leu	768
GCA Ala	GGA Gly	TCG Ser	CCG Pro 260	CGG Arg	CTC Leu	CGG Arg	GCG Ala	TAT Tyr 265	ATG Met	CTC Leu	CGC Arg	ATT Ile	GGT Gly 270	CTT Leu	GAC Asp	816
CAA Gln	CTC Leu	TAT Tyr 275	CAG Gln	AGC Ser	TTG Leu	GTT Val	GAC Asp 280	GGC.	AAT Asn	TTC Phe	GAT Asp	GAT Asp 285	GCA Ala	GCT Ala	TGG Trp	864
GCG Ala	CAG Gln 290	GGT Gly	CGA Arg	TGC Cys	GAC Asp	GCA Ala 295	ATC Ile	GTC Val	CGA Arg	TCC Ser	GGA Gly 300	GCC Ala	GGG Gly	ACT Thr	GTC Val	912
GGG Gly 305	CGT Arg	ACA Thr	CAA Gln	ATC Ile	GCC Ala 310	CGC Arg	AGA Arg	AGC Ser	GCG Ala	GCC Ala 315	GTC Val	TGG Trp	ACC Thr	GAT Asp	GGC Gly 320	960
TGT Cys	GTA Val	GAA Glu	GTC Val	GCG Ala 325	TCT Ser	GCG Ala	TTC Phe	GAC Asp	CAG Gln 330	GCT Ala	GCG Ala	CGT Arg	TCT Ser	CGC Arg 335	GGC Gly	1008
CAT His	AGC Ser	AAC Asn	CGA Arg 340	CGT Arg	ACG Thr	GCG Ala	TTG Leu	CGC Arg 345	CCT Pro	CGC Arg	CGG Arg	CAG Gln	CAA Gln 350	GAA Glu	GCC Ala	1056
ACG Thr	GAA Glu	GTC Val 355	CGC Arg	CCG Pro	GAG Glu	CAG Gln	AAA Lys 360	ATG Met	CCC Pro	ACG Thr	CTA Leu	CTG Leu 365	CGG Arg	GTT Val	TAT Tyr	1104
ATA Ile	GAC Asp 370	GGT Gly	CCC Pro	CAC His	GGG Gly	ATG Met 375	GGG Gly	AAA Lys	ACC Thr	ACC Thr	ACC Thr 380	ACG Thr	CAA Gln	CTG Leu	CTG Leu	1152
GTG Val 385	GCC Ala	CTG Leu	GGT Gly	TCG Ser	CGC Arg 390	GAC Asp	GAT Asp	ATC Ile	GTC Val	TAC Tyr 395	GTA Val	CCC Pro	GAG Glu	CCG Pro	ATG Met 400	1200
ACT Thr	TAC Tyr	TGG Trp	CGG Arg	GTG Val 405	CTG Leu	GGG Gly	GCT Ala	TCC Ser	GAG Glu 410	ACA Thr	ATC Ile	GCG Ala	AAC Asn	ATC Ile 415	TAC Tyr	1248
ACC Thr	ACA Thr	CAA Gln	CAC His 420	CGC Arg	CTC Leu	GAC Asp	CAG Gln	GGT Gly 425	GAG Glu	ATA Ile	TCG Ser	GCC Ala	GGG Gly 430	GAC Asp	GCG Ala	1296
GCG Ala	GTG Val	GTA Val 435	ATG Met	ACA Thr	AGC Ser	GCC Ala	CAG Gln 440	ATA Ile	ACA Thr	ATG Met	GGC Gly	ATG Met 445	CCT Pro	TAT Tyr	GCC Ala	1344

GTG Val	ACC Thr 450	GAC Asp	GCC Ala	GTT Val	CTG Leu	GCT Ala 455	CCT Pro	CAT His	ATC	GGG Gly	GGG Gly 460	GAG Glu	GCT Ala	GGG Gly	AGC Ser	1392
TCA Ser 465	CAT His	GCC Ala	CCG Pro	CCC Pro	CCG Pro 470	GCC Ala	CTC Leu	ACC Thr	CTC Leu	ATC Ile 475	TTC Phe	GAC Asp	CGC Arg	CAT His	CCC Pro 480	1440
ATC Ile	GCC Ala	GCC Ala	CTC Leu	CTG Leu 485	TGC Cys	TAC Tyr	CCG Pro	GCC Ala	GCG Ala 490	CGG Arg	TAC Tyr	CTT Leu	ATG Met	GGC Gly 495	AGC Ser	1488
ATG Met	ACC Thr	CCC Pro	CAG Gln 500	GCC Ala	GTG Val	CTG Leu	GCG Ala	TTC Phe 505	GTG Val	GCC Ala	CTC Leu	ATC Ile	CCG Pro 510	CCG Pro	ACC Thr	1536
TTG Leu	CCC Pro	GGC Gly 515	ACC Thr	AAC Asn	ATC Ile	GTG Val	CTT Leu 520	GGG	GCC Ala	CTT Leu	CCG Pro	GAG Glu 525	GAC Asp	AGA Arg	CAC His	1584
ATC Ile	GAC Asp 530	CGC Arg	CTG Leu	GCC Ala	AAA Lys	CGC Arg 535	CAG Gln	CGC Arg	CCC	GGC Gly	GAG Glu 540	CGG Arg	CTG Leu	GAC Asp	CTG Leu	1632
GCT Ala 545	ATG Met	CTG Leu	GCT Ala	GCG Ala	ATT Ile 550	CGC Arg	CGC Arg	GTT Val	TAC Tyr	GGG Gly 555	CTA Leu	CTT Leu	GCC Ala	AAT Asn	ACG Thr 560	1680
GTG Val	CGG Arg	TAT Tyr	CTG Leu	CAG Gln 565	GGC Gly	TGC Cys	GGG Gly	TCG Ser	TGG Trp 570	CGG Arg	GAG Glu	GAC Asp	TGG Trp	GGA Gly 575	CAG Gln	1728
CTT	TCG Ser	GGG Gly	ACG Thr 580	GCC Ala	GTG Val	CCG Pro	CCC Pro	CAG Gln 585	GGT Gly	GCC Ala	GAG Glu	CCC Pro	CAG Gln 590	AGC Ser	AAC Asn	1776
GCG Ala	GGC Gly	CCA Pro 595	CGA Arg	CCC Pro	CAT His	ATC Ile	GGG Gly 600	GAC Asp	ACG Thr	TTA Leu	TTT Phe	ACC Thr 605	CTG Leu	TTT Phe	CGG Arg	1824
GCC Ala	CCC Pro 610	GAG Glu	TTG Leu	CTG Leu	GCC Ala	CCC Pro 615	AAC Asn	GGC Gly	GAC Asp	CTG Leu	TAT Tyr 620	AAC Asn	GTG Val	TTT Phe	GCC Ala	1872
TGG Trp 625	GCC Ala	TTG Leu	GAC Asp	GTC Val	TTG Leu 630	GCC Ala	AAA Lys	CGC Arg	CTC Leu	CGT Arg 635	TCC Ser	ATG Met	CAC His	GTC Val	TTT Phe 640	1920
ATC	CTG Leu	GAT Asp	TAC Tyr	GAC Asp 645	CAA Gln	TCG Ser	CCC Pro	GCC Ala	GGC Gly 650	TGC Cys	CGG Arg	GAC Asp	GCC Ala	CTG Leu 655	CTG Leu	1968
CAA Gln	CTT Leu	ACC Thr	TCC Ser 660	GGG Gly	ATG Met	GTC Val	CAG Gln	ACC Thr 665	CAC His	GTC Val	ACC Thr	ACC Thr	CCC Pro 670	GGC Gly	TCC Ser	2016
ATA Ile	CCG Pro	ACG Thr 675	ATA Ile	TGC Cys	GAC Asp	CTG Leu	GCG Ala 680	CGC Arg	ACG Thr	TTT Phe	GCC Ala	CGG Arg 685	GAG Glu	ATG Met	GGG Gly	2064

GAG GCT AAC TGA Glu Ala Asn . 690

2076

- (2) INFORMATION FOR SEQ ID NO:2:
 - (i) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH: 691 amino acids
 - (B) TYPE: amino acid
 - (D) TOPOLOGY: linear
 - (ii) MOLECULE TYPE: protein

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:2: Met Lys Lys Pro Glu Leu Thr Ala Thr Ser Val Glu Lys Phe Leu Ile Glu Lys Phe Asp Ser Val Ser Asp Leu Met Gln Leu Ser Glu Gly Glu Glu Ser Arg Ala Phe Ser Phe Asp Val Gly Gly Arg Gly Tyr Val Leu Arg Val Asn Ser Cys Ala Asp Gly Phe Tyr Lys Asp Arg Tyr Val Tyr Arg His Phe Ala Ser Ala Ala Leu Pro Ile Pro Glu Val Leu Asp Ile Gly Glu Phe Ser Glu Ser Leu Thr Tyr Cys Ile Ser Arg Arg Ala Gln Gly Val Thr Leu Gln Asp Leu Pro Glu Thr Glu Leu Pro Ala Val Leu 105 Gln Pro Val Ala Glu Ala Met Asp Ala Ile Ala Ala Ala Asp Leu Ser Gln Thr Ser Gly Phe Gly Pro Phe Gly Pro Gln Gly Ile Gly Gln Tyr Thr Thr Trp Arg Asp Phe Ile Cys Ala Ile Ala Asp Pro His Val Tyr 150 His Trp Gln Thr Val Met Asp Asp Thr Val Ser Ala Ser Val Ala Gln 165

Ala Leu Asp Glu Leu Met Leu Trp Ala Glu Asp Cys Pro Glu Val Arg His Leu Val His Ala Asp Phe Gly Ser Asn Asn Val Leu Thr Asp Asn

Gly Arg Ile Thr Ala Val Ile Asp Trp Ser Glu Ala Met Phe Gly Asp 215

Ser Gln Tyr Glu Val Ala Asn Ile Phe Phe Trp Arg Pro Trp Leu Ala 235

- Cys Met Glu Gln Gln Thr Arg Tyr Phe Glu Arg Arg His Pro Glu Leu Ala Gly Ser Pro Arg Leu Arg Ala Tyr Met Leu Arg Ile Gly Leu Asp Gln Leu Tyr Gln Ser Leu Val Asp Gly Asn Phe Asp Asp Ala Ala Trp Ala Gln Gly Arg Cys Asp Ala Ile Val Arg Ser Gly Ala Gly Thr Val 295 Gly Arg Thr Gln Ile Ala Arg Arg Ser Ala Ala Val Trp Thr Asp Gly Cys Val Glu Val Ala Ser Ala Phe Asp Gln Ala Ala Arg Ser Arg Gly His Ser Asn Arg Arg Thr Ala Leu Arg Pro Arg Arg Gln Gln Glu Ala 345 Thr Glu Val Arg Pro Glu Gln Lys Met Pro Thr Leu Leu Arg Val Tyr Ile Asp Gly Pro His Gly Met Gly Lys Thr Thr Thr Thr Gln Leu Leu 375 380 Val Ala Leu Gly Ser Arg Asp Asp Ile Val Tyr Val Pro Glu Pro Met Thr Tyr Trp Arg Val Leu Gly Ala Ser Glu Thr Ile Ala Asn Ile Tyr Thr Thr Gln His Arg Leu Asp Gln Gly Glu Ile Ser Ala Gly Asp Ala 425 Ala Val Val Met Thr Ser Ala Gln Ile Thr Met Gly Met Pro Tyr Ala 435 Val Thr Asp Ala Val Leu Ala Pro His Ile Gly Glu Ala Gly Ser
 - Ser His Ala Pro Pro Pro Ala Leu Thr Leu Ile Phe Asp Arg His Pro 465 470 475 480
 - Ile Ala Ala Leu Leu Cys Tyr Pro Ala Ala Arg Tyr Leu Met Gly Ser 485 490 495
 - Met Thr Pro Gin Ala Val Leu Ala Phe Val Ala Leu Ile Pro Pro Thr 500 505 510
 - Leu Pro Gly Thr Asn Ile Val Leu Gly Ala Leu Pro Glu Asp Arg His 515 520 525
 - Ile Asp Arg Leu Ala Lys Arg Gln Arg Pro Gly Glu Arg Leu Asp Leu 530 535 540
 - Ala Met Leu Ala Ala Ile Arg Arg Val Tyr Gly Leu Leu Ala Asn Thr 545 550 555 560
 - Val Arg Tyr Leu Gln Cys Gly Gly Ser Trp Arg Glu Asp Trp Gly Gln

575 565 570 Leu Ser Gly Thr Ala Val Pro Pro Gln Gly Ala Glu Pro Gln Ser Asn 585 580 Ala Gly Pro Arg Pro His Ile Gly Asp Thr Leu Phe Thr Leu Phe Arg Ala Pro Glu Leu Leu Ala Pro Asn Gly Asp Leu Tyr Asn Val Phe Ala 615 Trp Ala Leu Asp Val Leu Ala Lys Arg Leu Arg Ser Met His Val Phe Ile Leu Asp Tyr Asp Gln Ser Pro Ala Gly Cys Arg Asp Ala Leu Leu Gln Leu Thr Ser Gly Met Val Gln Thr His Val Thr Thr Pro Gly Ser 665 Ile Pro Thr Ile Cys Asp Leu Ala Arg Thr Phe Ala Arg Glu Met Gly 680 Glu Ala Asn 690

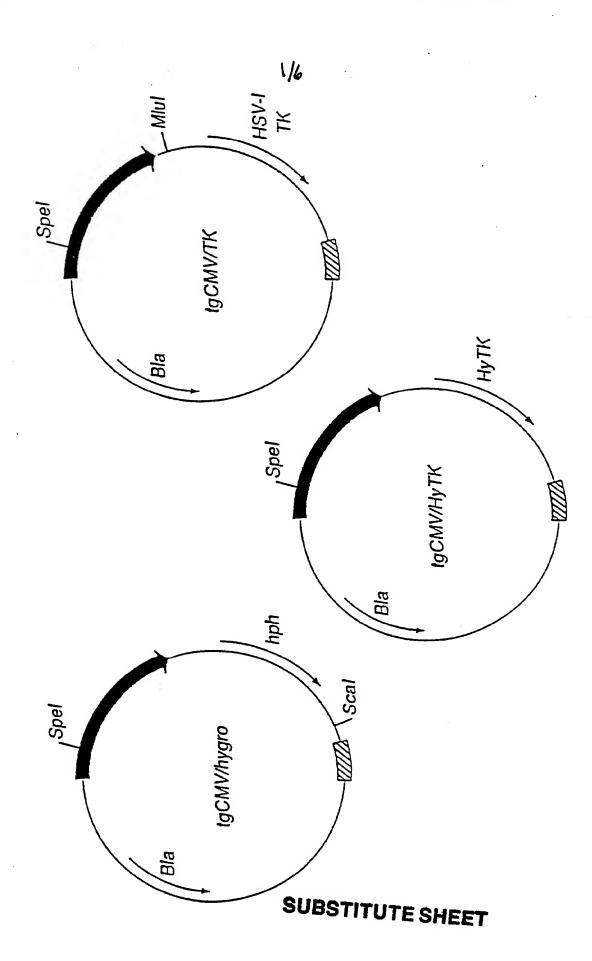
CLAIMS

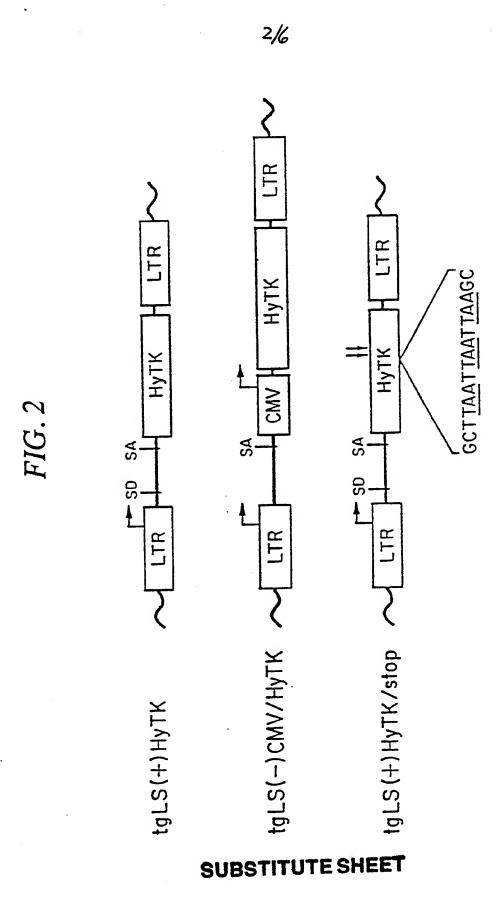
- 1. A selectable fusion gene comprising a dominant positive selectable gene fused to and in reading frame with a negative selectable gene, wherein the selectable fusion gene encodes a single bifunctional fusion protein which is capable of conferring a dominant positive selectable phenotype and a negative selectable phenotype on a cellular host.
- 2. A selectable fusion gene according to claim 1, wherein the dominant positive selectable gene is selected from the group consisting of hph, neo, gpt and the negative selectable gene is selected from the group consisting of HSV-I TK, HPRT, APRT and gpt.
- 3. A selectable fusion gene according to claim 1, wherein the dominant positive selectable marker is *hph* and the negative selectable marker is HSV-I TK.
- 4. A selectable fusion gene according to claim 3 encoding the sequence of amino acids 1-691 of SEQ ID NO:2.
- 5. A selectable fusion gene according to claim 4 comprising the sequence of nucleotides 1-2073 of SEQ ID NO:1.
- 6. A recombinant expression vector comprising a selectable fusion gene according to any one of claims 1 through 5.
- 7. A recombinant expression vector according to claim 6, wherein the vector is a retrovirus.
- 8. A cell transduced with a recombinant expression vector according to claim 6.
- 9. A method for conferring a dominant positive and negative selectable phenotype on a cell, comprising the step of transducing the cell with a recombinant expression vector according to claim 6.
- 10. A method for conferring a dominant positive and negative selectable phenotype on a cell, comprising the step of transducing the cell with a recombinant

expression vector according to claim 7.

- 11. A method for isolating cells having a negative selectable phenotype comprising the steps of:
- (a) transducing a population of cells with a recombinant expression vector having a dominant positive selectable gene fused to and in reading frame with a negative selectable gene, thereby conferring the cells with a dominant positive selectable phenotype and a negative selectable phenotype;
- (b) applying positive selection to select cells having a dominant positive selectable phenotype, thereby concomitantly selecting cells having a negative selectable phenotype.

FIG. 1







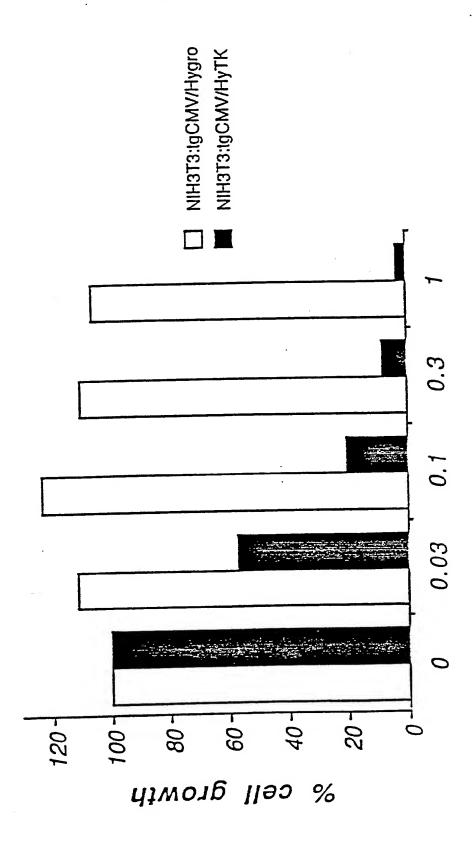
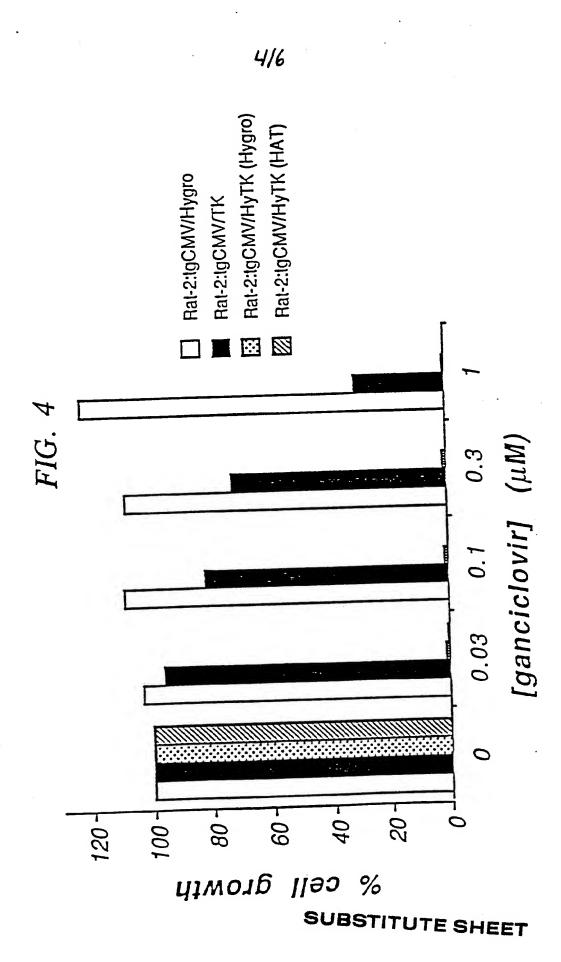


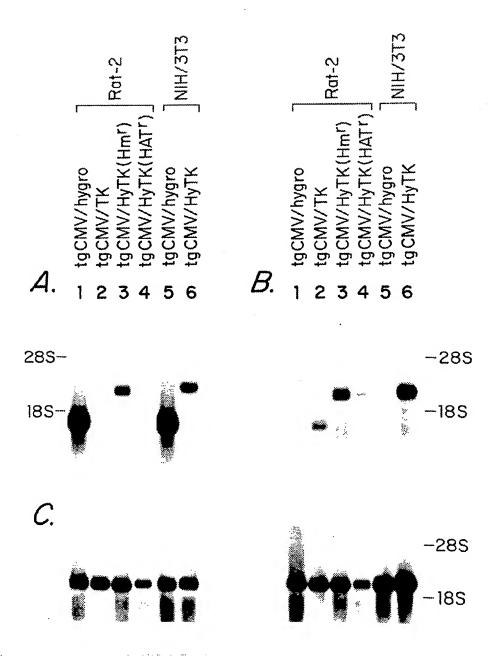
FIG.

SUBSTITUTE SHEET



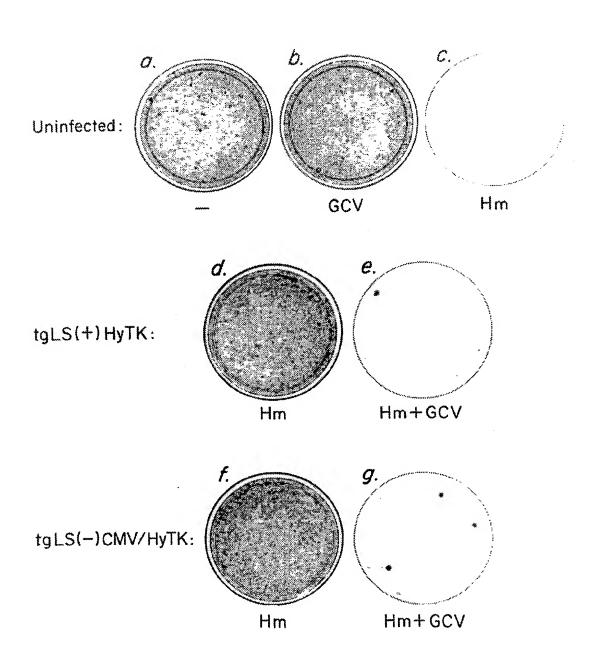
5/6

FIG. 5



SUBSTITUTE SHEET

6/6 FIG. 6



SUBSTITUTE SHEET

PCT/US 91/08442

International Application No

I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) ⁶								
	to International Patent . 5 C12N15/6	t Classification (IPC) or to bot 5; C12N15		C12N5/10;	///	A61K48/00		
II. FIELDS	SEARCHED							
		Mini	mum Documentatio	on Searched?		•		
Classificat	Classification System Classification Symbols							
Int.Cl	nt.C1. 5 C12N; A61K							
		Documentation Set to the Extent that such		Minimum Documentat cluded in the Fields S				
		ED TO BE RELEVANT			19	m-large to Claim No 13		
Category °	Citation of Do	ocument, 11 with indication, wi	iere appropriate, o	the relevant passages	; 12	Relevant to Claim No. ¹³		
x	JOURNAL OF MOLECULAR BIOLOGY vol. 150, 1981, pages 1 - 14;					1,2,6		
	F.COLBERE-GARAPIN ET AL: 'A new dominant hybrid selective marker for higher eukaryotic cells' see figure 1, plasmid pAG50							
Y	255 113	are i, prasmia p				3,7-10		
JOURNAL OF BIOLOGICAL CHEMISTRY. vol. 264, no. 13, 5 May 1989, BALTIMORE US pages 7418 - 7424; U.A.GERMANN ET AL: 'Expression of a multidrug resistance-adenosine deaminase fusion gene' see abstract						1-11		
Y	30 Novem	EP,A,O 293 193 (CLAYTON FOUNDATION FOR RESEARCH) 30 November 1988 see abstract see page 3, column 4, line 11 - line 54						
				-/				
"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed "C" document published after the international filing date but later than the priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "A" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "A" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.						e application but underlying the ned invention onsidered to ned invention we step when the her such docu- a person skilled		
IV. CERTI	FICATION							
Date of the Actual Completion of the International Search 24 FEBRUARY 1992 Date of Mailing of this International Search Report 28 03 92						192 193. 92		
International Searching Authority EUROPEAN PATENT OFFICE				Signature of Authorized Officer VAN DER SEHAAL C.A				

E

³ 2

	International Application No							
	INTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)	D. D. C.						
Category °	Citation of Document, with indication, where appropriate, of the relevant passages	Relevant to Claim No.						
	. NUMBER OF A LOTTE OF A POST A POLIT	2.10						
Y	NUCLEIC ACIDS RESEARCH. vol. 11, no. 19, 1983, ARLINGTON, VIRGINIA US pages 6895 - 6911; K.R.KASTER ET AL: 'Analysis of a bacterial hygromycin B resistance gene by transcriptional and translationel fusions and by DNA sequencing' see page 6895 - page 6896, paragraph 1 see page 6910, line 3 - line 9	2-10						
Y	CHEMICAL ABSTRACTS, vol. 103, no. 7, 19 August 1985, Columbus, Ohio, US; abstract no. 49062, page 136; see abstract & JOURNAL OF CELL PHYSIOLOGY vol. 124, no. 1, 1985, pages 37 - 42; C.LASSARE ET AL: 'Differential expression of two linked selection genes (HSVI-tk and Eco-gpt) in transformed teratocarcinoma and in L cells'	2,3,6-10						
P,Y	EP,A,O 415 731 (THE WELLCOME FOUNDATION) 6 March 1991	2-11						
P,X	MOLECULAR AND CELLULAR BIOLOGY vol. 11, no. 6, June 1991, WASHINGTON, US pages 3374 - 3378; S.D.LUPTON ET AL: 'Dominant positive and negative selection using a hygromycin phosphotransferase-thymidine kinase fusion gene' see the whole document	1-11						
		į.						

ANNEX TO THE INTERNATIONAL SEARCH REPORT ON INTERNATIONAL PATENT APPLICATION NO. US 9108442 54445

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report.

The members are as contained in the European Patent Office EDP file on
The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information. 25/02/92

Patent document cited in search report	Publication date	1	Patent family member(s)	Publication date
EP-A-0293193	30-11-88	AU-A- EP-A- WO-A-	2125388 0386002 8809383	21-12-88 12-09-90 01-12-88
EP-A-0415731	06-03-91	AU-A- CN-A- JP-A-	6199190 1050899 3172189	07-03-91 24-04-91 25-07-91